The Four Tenets of Osteopathic Medicine

1. The body is a unit
2. Structure and function are interdependent
3. The body has self healing and self regulatory capabilities
4. Rational osteopathic care relies on the integration of these tenets in patient care
A Message from the President

The Faculty Handbook provides the faculty with useful information about policies, procedures, services and activities at the West Virginia School of Osteopathic Medicine. It is the responsibility of every faculty member to be familiar and compliant with the contents contained within the Faculty Handbook.

My thanks to all those who provided assistance in formulation of this document.

James W. Nemitz, Ph.D.
President
WVSOM Mission

The mission of the West Virginia School of Osteopathic Medicine (WVSOM) is to educate students from diverse backgrounds as lifelong learners in osteopathic medicine and complementary health related programs; to support and develop graduate medical education training; to advance scientific knowledge through academic, clinical and basic science research; and to promote patient-centered, evidence based medicine. WVSOM is dedicated to serve, first and foremost, the state of West Virginia and the health care needs of its residents, emphasizing primary care in rural areas.
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**Authority of this Handbook**

This document is the officially sanctioned reference of faculty policies and definitions until amended or modified by those empowered to do so; the faculty and administration of WVSOM reserve the right to make changes in this document.

The Faculty Handbook is separated into two distinct areas of responsibility. Two sections reflect administrative prerogatives, and the power to initiate any alteration lies with the Vice President for Academic Affairs and Dean. Alterations are subject to approval by the Academic Policy Committee and the President.

Section 1 - INSTITUTIONAL GOVERNANCE  
Section 4 - FACULTY ORGANIZATION AND PROCEDURES

These sections are included in the Faculty Handbook for informational purposes. Any changes in these sections will come from the WVSOM administration and will be included in the handbook as they are received. Such amendments and alterations will conform to the format adopted for the faculty handbook.

The remaining sections reflect faculty prerogatives, and the power to initiate changes lies with the voting faculty; these changes are subject to administrative approval through the Academic Policy Committee.

Section 2 - FACULTY COMMITTEES AND REPRESENTATIVES  
Section 3 - FACULTY BY-LAWS  
Section 5 - EVALUATION, PROMOTION AND TENURE

These are policies, procedures, and definitions established by the voting faculty at WVSOM.

It is understood that all material in the Faculty Handbook may be superseded by policies developed by the BOG and that any amendments or alterations made must correspond to any existing policies of the BOG.

The master copy of the Faculty Handbook will be updated as changes are made. The master copy is maintained by the Chairperson of the Faculty Council. The current copy of the Faculty Handbook will be posted on the WVSOM web site with amendments added as they are approved by the Academic Policy Committee and the President. A hard copy is also available in the WVSOM Library.

The Faculty Handbook also contains a number of APPENDICES. Each APPENDIX has been approved by the faculty, and by the Vice President for Academic Affairs and Dean. An APPENDIX can be amended by including the amendment as an agenda item for the faculty assembly and approval by a simple majority of the voting faculty present. The amended APPENDIX must be approved by the Vice President for Academic Affairs and Dean.
SECTION 1 – INSTITUTIONAL GOVERNANCE

The contents of this section are for informational purposes only and include descriptions developed by the WVSOM administration; the faculty has no authority to alter the definitions or organizations described.

1.1 Higher Education Policy Commission

The West Virginia School of Osteopathic Medicine is a tax-supported institution of the West Virginia higher education system which has oversight from the Higher Education Policy Commission, whose functions are designated in the West Virginia State Code.

1.2 WVSOM Board of Governors

WVSOM’s Board of Governors consists of twelve persons, including an elected full-time member of the faculty; an elected member of the staff; a member of the student body in good academic standing; and nine lay members appointed by the governor. The elected staff and faculty members serve a term of two years; the elected student representative serves a one-year term. Appointed members serve four-year terms. The governing board shall carry out the duties as described in West Virginia Code §18B-2A-1 through §18B-2A-4.

1.3 The President

The President, the Chief Executive Officer of WVSOM, is appointed by the WVSOM Board of Governors. He or she reports to the Board of Governors and is responsible for the acquisition of sufficient resources to achieve institutional goals. The WVSOM Board of Governors has delegated to the President the authority to initiate and implement approved policies as defined by Institutional Policy GA 35, Section 5.1 (https://www.wvsom.edu/About/policies/ga-35)

1.3.1 The Vice President for Finance and Facilities

FUNCTION OF JOB: Under administrative supervision from the President, to assist in the preparation of budgets, be responsible for the accounting, fiscal reporting, and budgetary controls of the school, and to oversee school facilities. The Vice President for Finance and Facilities serves at the pleasure of the President.

1.3.2 The Vice President for Academic Affairs and Dean

FUNCTION OF JOB: The Vice President for Academic Affairs and Dean is the chief academic officer of the institution. The Vice President for Academic Affairs and Dean reports directly to the President and serves at the pleasure of the President. This is a full time position and may be accompanied by a tenured, or a non-tenure track faculty appointment. The Vice President for Academic Affairs and Dean must be an osteopathic physician.

1.3.2.1 The Associate Dean for Preclinical Education

FUNCTION OF JOB: Reports to and serves at the pleasure of the Vice President for Academic Affairs and Dean. In conjunction with the Associate Dean for Predoctoral Clinical Education, is administratively responsible for Year 1 and Year 2 of the curriculum. Serves as administrative head of the Biomedical Science faculty.

1.3.2.2 The Associate Dean for Predoctoral Clinical Education

FUNCTION OF JOB: Reports to and serves at the pleasure of the Vice President for Academic Affairs and Dean. Is administratively responsible for Years 3 and 4 of the curriculum as well as those components of clinical education that occur in Year 1 and Year
2 of the curriculum. Serves as administrative head of the Department of Clinical Science faculty.

1.3.2.2.1 The Regional Assistant Deans
FUNCTION OF JOB: Under direction of the Associate Dean for Predoctoral Education, each osteopathic physician in this position serves as the chief academic, administrative, financial and public relations liaison for WVSOM at the specified statewide campus region.

1.3.2.3 The Associate Dean for Osteopathic Medical Education
FUNCTION OF JOB: Reports to and serves at the pleasure of the Vice President for Academic Affairs and Dean. Is responsible for the provision of education in Osteopathic Principles and Practices (OPP) to students, faculty, and staff; the integration of OPP throughout the entire curriculum from student recruitment through postgraduate training; the development and promotion of distinctively osteopathic research at WVSOM; and the promotion of awareness and integration of OPP at the local, state, and national levels. Supervises the administrative head of the Department of Osteopathic Principles and Practice (OPP).

1.3.2.4 The Associate Dean for Graduate Medical Education
FUNCTION OF JOB: Reports to and serves at the pleasure of the Vice President for Academic Affairs and Dean. Responsible for implementation of all WVSOM post-graduate internship and residency programs with oversight necessary to insure that all programs meet AOA, OPTI, WVSOM and Specialty College requirements. Supervises the Assistant Dean for Graduate Medical Education, all OPTI Directors of Medical Education, Residency Directors, and assigned staff.

1.3.3 The Vice President for Administration and External Relations
FUNCTION OF JOB: Reports to and serves at the pleasure of the President. Oversees accreditation, assessment and faculty development, affiliated and sponsored programs, research, external relations, media services, strategic planning, space allocation, special projects and events, and assists with legislative issues.

1.3.3.1 The Associate Dean for Assessment and Educational Development
FUNCTION OF JOB: Reports to and serves at the pleasure of the Vice President for Administration and External Relations. Responsible for initiating and overseeing institutional and academic assessment plans and faculty development, both on the Lewisburg campus and statewide campuses, for both pre- and post-doctoral programs; oversees with the Vice President for Administration and External Relations the accreditation and continuous quality improvement (CQI) process; oversees the Office of National Boards and Exam Center; manages the system for student feedback on courses, systems, clerk-ships and teaching performance; supports educational development statewide, for both predoctoral clinical education and the Mountain State Osteopathic Postdoctoral Training Institution; in close cooperation with appropriate faculty / administrators, monitors and improves assessment of student / trainee performance, core competencies, and institutional outcomes; and provides leadership regarding institutional internal and external reports and using evaluation data to implement program improvement. Monitors national developments in health professions education, and conducts appropriate educational research.
1.3.3.2 The Associate Dean for Affiliated and Sponsored Programs

FUNCTION OF JOB: Executes the administrative responsibilities that are necessary to the development and promotion of a culture of research and professional development activities in biomedical and clinical research and scholarship across all disciplines. Assists faculty in development of research programs and encourages successful grant proposals by exploring the availability of grant opportunities being offered by the government, foundations, and other sources. The Associate Dean for Affiliated and Sponsored Programs promotes medical student research programs and encourages student involvement in research on campus during the student’s first two years, and also works with affiliated hospital partner sites and Statewide Campus staff to assist with research needs and opportunities for third and fourth year students, interns, and residents.

1.3.4 Vice President for Legal & Governmental Affairs and General Counsel

FUNCTION OF JOB: Reports to and serves at the pleasure of the President and Board of Governors. Under administrative supervision from the President, provides legal counsel, preventative legal guidance, and advice and services in all matters pertaining to the practice of law on behalf of the institution.

1.3.5 The Associate Vice President of Human Resources

FUNCTION OF JOB: The Vice President of Human Resources is responsible for the leadership and administration of a comprehensive Human Resource strategy in support of the overall business plan and strategic direction of the organization, specifically in the areas of succession planning and recruitment, talent management, change management, organizational and performance management, employee training and development, classification, and compensation. Provides strategic leadership by articulating human resource needs and plans to the executive management team, shareholders, and to the Board of Governors. The Associate Vice President of Human Resources also serves as the EEO/Equity/AA/ADA/504 Coordinator.

1.3.6 The Associate Vice President of Administrative Affairs

FUNCTION OF JOB: The Associate Vice President of Administrative Affairs reports directly to the President and is responsible for overseeing the office of the President and the Vice President for Administration and External Relations. Provides executive and confidential support to the President in support of the mission and strategic direction of WVSOM. This includes making decisions and carrying out responsibilities on the President’s behalf, often in close coordination with senior staff, and preparing correspondence, reports, speeches, and introductions for the President. The Associate Vice President of Administrative Affairs oversees the work for several departments and serves as a liaison from those departments to the President.

1.4 Institutional Committees, Councils, Boards, and Associations

In addition to the Faculty Committees discussed in Section 2, the following have been established by the administration as Institutional Committees:

1.4.1 The President’s Forum

The President’s Forum consists of the Vice Presidents and Deans, the Directors of all programs, the Department Chairpersons and the Chairpersons of the Faculty and Staff Councils, and a student representative. The President’s Forum is a forum for development and discussion of institutional issues, and is used to enhance dissemination of information throughout the institution.
1.4.2 The Faculty Council
The Faculty Council advises the Vice President for Academic Affairs and Dean and President in matters concerning the Faculty. The composition of the Faculty Council is described in Section 2 of this Handbook.

1.4.3 The Staff Council
The Staff Council advises the President on matters pertaining to staff concerns.

1.4.4 The Student Government Association
The Student Government Association (SGA) maintains communication among all members of the student body and acts as the representative of the student body to the faculty, administration, fellow professionals, and the public at large. Every full-time student shall be represented by this organization. The Executive Committee of the SGA consists of its elected officers, including the President, Vice President, Recording Secretary, Historian, Treasurer, the Student Representative to the WVSOM Board of Governors, and the Student Representative to the State Advisory Council of Students.

1.4.5 The Equal Opportunity and Affirmative Action Committee
The Equal Opportunity Affirmative Action Committee is established and shall conduct its business in accordance with the terms set forth in Institutional Policy GA-14. The general charge to this committee is to recommend to the President, policies and procedures which will further WVSOM’s commitment to equal opportunity in employment and education. The committee shall be composed of three (3) faculty members, one (1) staff employee, and two (2) students, each of whom shall be elected by their consistent bodies for one-year terms. In addition, the President shall appoint two (2) administrators. All members may be elected or appointed to consecutive terms without limit. The Affirmative Action Officer and Associate Vice President of Human Resources are members ex officio, but without vote. The committee shall be chaired by the Affirmative Action Officer.

1.4.6 The Institutional Review Board
The Institutional Review Board is established according to requirements of the federal Office of Human Research Protection (OHRP) to safeguard the rights and welfare of human subjects participating in research at WVSOM (see 45 Code of Federal Regulations Part 46 Protection of Human Subjects).

1. The Institutional Review Board is authorized to suspend any activity involving humans without administrative approval in order to protect patient health and safety, as set forth in 45 Code of Federal Regulations Part 46, Subparts A and D.

2. The function of this committee is to review research protocols involving humans as subjects and recommends approval, alteration, or rejection of the proposal and makes timely recommendations for approval, alteration, or rejection of proposals. The committee is authorized to suspend any activity involving humans as set forth in 45 Code of Federal Regulations Part 46, Subparts A and D.

3. Committee representatives are specified according to regulations of the OHRP (45 CFR 46). The committee is composed of at least five appointed faculty members, including at least one member from the biomedical sciences division and at least one clinician. Additionally, membership is composed of at least one extramural member (not affiliated with the institution), and at least one member whose expertise is with primarily non-scientific concerns, all of whom have a vote. The Chairperson of the committee will be a faculty member appointed by the President to an unlimited
term. Four faculty members at large with the expertise noted above will be appointed by the President, each serving a staggered four year term.

4. The extramural members are appointed to four year staggered terms by the President.

1.4.7 **THE INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE**

1. The Institutional Animal Care and Use Committee (IACUC) reviews research and teaching protocols involving the use of animals at the institution to ensure that such protocols adhere to the Guide for the Care and Use of Laboratory Animals, the Institutional Agreement of Assurance with the United States Public Health Service, the Federal Animal Welfare Act, and institutional policy (see Institutional Policy on Use of Research Animals at [https://www.wvsom.edu/About/policies/r-01](https://www.wvsom.edu/About/policies/r-01)). The Committee oversees the operations of the animal facility, conducts semiannual reviews of the animal care program and inspections of the facilities and animals. A report and recommendations of each semiannual review will be made in writing to the President through the Director of Sponsored Programs/Chief Research Compliance Officer. The IACUC reports directly to the President of WVSOM or a designee as the Institutional Official (IO) who is given full responsibility for meeting the terms of the Animal Welfare Act and HHS Assurance of Compliance with all laws and regulations governing the use of vertebrate animals in research and teaching at WVSOM.

2. The IACUC is authorized to suspend any activity involving animals as set forth in PHS Policy IV.C.6.

3. Committee members are specified according to the Animal Welfare Act, 9, CFR, 2.31 (a)(b) and Public Health Service PHS Policy IV.A.3.a.b and are appointed by the President of WVSOM. The committee is composed of at least five appointed members including a Chair, a veterinarian and extramural nonaffiliated member. No more than three members can be from the same administrative unit of WVSOM. The Chair is appointed by the President to an unlimited term, the veterinarian should be the contractual, consulting veterinarian and the non-affiliated member appointed after consultation with the Chair. Faculty members are appointed to staggered four year terms that can be renewed.

4. The external members are appointed annually by the President upon recommendation of the Director of Sponsored Programs/Chief Research Compliance Officer.

5. At least once every 12 months, the committee, through the institutional official, will report in writing to the federal Office of Laboratory Animal Welfare (OLAW) any changes or lack of changes in a) the program of animal use and b) the committee membership.

6. The Committee, through the institutional official, will provide the OLAW and USDA with prompt and full explanation of any continuing noncompliance with Public Health Service policy, any serious deviation from guidelines, or any suspension of activity by the IACUC.

1.4.8 **INSTITUTIONAL BIOSAFETY COMMITTEE**

1. The Institutional Biosafety Committee (IBC) is charged by Federal law with the planning and implementation of a campus Biosafety Program with a purpose to ensure the health and safety of all personnel working with biohazardous agents. The IBC makes certain that research conducted at WVSOM is in compliance with the [NIH Guidelines for Research Involving Recombinant DNA Molecules](http://oba.od.nih.gov/oba/rac/Guidelines/NIH_Guidelines.htm) and the [Select Agent Rule](http://www.selectagents.gov/), drafts campus biosafety policies and procedures, and reviews individual research proposals for biosafety concerns. The IBC is responsible for monitoring and oversight of the use of biohazardous agents to safeguard the health and safety of WVSOM personnel, students, the community, and the environment. The IBC insures compliance with applicable federal
regulations and guidelines, granting agency guidelines, and WVSOM policies and procedures. The IBC formulates procedures necessary to establish safe practices for the use of biohazardous agents and the safe procurement, use, storage, and disposal of biohazardous agents.

Committee responsibilities include:

- Reviewing and approving the protocol for each project or each research laboratory using biohazardous agents.
- Updating and approving the WVSOM Biosafety Manual.
- Providing input and recommendations to the Associate Dean for Affiliated and Sponsored Programs on the administration of the Biosafety Manual and biosafety procedures.
- Assuring compliance of all protocols with approved biosafety procedures.
- Maintaining official minutes of all meetings of the IBC, with official copies on file in the Office of the President.

2. The IBC is composed of three faculty members and two external members (not associated with the institution) who represent the community with respect to health and protection of the environment, all of whom have a vote. The Associate Dean for Affiliated and Sponsored Programs and the Supervisor of the Animal Facility are ex-officio members, without vote.

The Chairperson of the IBC will be a faculty member appointed by the Vice President for Administration and External Relations to an unlimited term. Two faculty members at large will be elected by the Faculty, each serving a staggered three-year term. The external members are appointed annually by the Vice President for Administration and External Relations upon recommendation from the Associate Dean for Affiliated and Sponsored Programs.

1.4.9 **Residency Appeals Committee**

The Residency Appeals Committee is established by Institutional Policy ST-10 as approved by the President.

1. The Residency Appeals Committee is advisory to the Vice President for Academic Affairs and Dean.

2. This committee serves as a panel to review the appeal of a student who has been denied a change of state residency for admissions, tuition and fee purposes. The committee meets only when an appeal is filed under the procedure defined in Institutional Policy ST-10.

3. The committee is composed of four standing members, two students appointed by the Student Government President and two faculty members at large elected by the faculty. The appellant shall select three of the four to hear the appeal.

4. Each year the Faculty will elect one member to serve a two-year term.

1.4.10 **Budget Committee**

The Budget Committee will be designated annually by the President. The committee makes recommendations to the President in the development of the annual institutional budget.

1.4.11 **The Academic Policy Committee**

The Academic Policy Committee reviews, modifies and creates academic policies and procedures. The committee makes recommendations to the Vice President for Academic Affairs and Dean with final approval of policy revisions and new policies by the WVSOM Board of Governors. The committee consists of the Associate Dean for Preclinical Education, who serves as chairperson, Associate Dean for Predoctoral Education, Assistant Dean for Student Affairs, Faculty Council Chairperson, Registrar, Legal Counsel, and Vice President for Administration and External Relations.
1.4.12 **THE INSTITUTIONAL POLICY COMMITTEE**

The Institutional Policy Committee reviews, modifies and creates institutional policies and procedures. The committee makes recommendations to the President with final approval of policy revisions and new policies by the WVSOM Board of Governors. The committee consists of the Vice President for Administration and External Relations, who serves as chairperson, Vice President for Academic Affairs and Dean, Associate Vice President for Human Resources, Faculty Council Chairperson, Staff Chairperson and Legal Counsel.

1.4.13 **CONTINUOUS QUALITY IMPROVEMENT (CQI) COMMITTEE**

The Institutional Continuous Quality Improvement (CQI) Committee engages in continuous quality improvement processes that review short and long-term programmatic goals that are used to improve programmatic quality and ensure effective monitoring of WVSOM’s educational program’s compliance with accreditation standards. This committee functions in an advisory and collaborative role with leadership, administration and department heads. The CQI committee is advisory to the President.

The Institutional CQI Committee is comprised of the Vice President for Academic Affairs and Dean, Vice President for Administration and External Relations (Co-Chair), Associate Dean for Preclinical Education, Associate Dean for Predoctoral Clinical Education, Associate Dean Osteopathic Medical Education, Associate Dean for Assessment and Educational Development (Co-Chair), Chair Biomedical Sciences or Vice Chair, Chair Osteopathic Principles and Practice (OPP) or Vice Chair, Chair Clinical Sciences or Vice Chair, Faculty Council Chair, Director of the Clinical Evaluation Center, Director of Accreditation, and Director of Institutional Research.

The Departmental CQI Committee reviews the ongoing quality improvement efforts of departments that address areas identified as potential opportunities for advancement. While the Departmental CQI Committee makes recommendations on departmental goals and outcomes, the Department Head and their next level supervisor has the final authority and responsibility for setting departmental goals and outcomes. The Departmental CQI Committee will not infringe upon the autonomy and authority of Departments or Committees.

The Vice President for Administration and External Relations, Associate Dean for Assessment and Educational Development, and Director of Accreditation meet with individual Department Heads and their next level supervisor annually to review their Departmental CQI Annual Report.
SECTION 2 - FACULTY COMMITTEES and REPRESENTATIVES

Except where noted, the descriptions within this section have been determined by the faculty; as such, the faculty reserves the right to alter definitions consistent with institutional and extramural mandates.

Definition: For this and subsequent sections “Biomedical Science department” refers to the Department of Bio-medical Sciences; “clinical departments” refers to the Department of Clinical Sciences and the Department of Osteopathic Principles and Practice.

2.1 Faculty Committees

2.1.1 Committee Authority

2.1.1.1 Advisory Committees
The actions of advisory committees are subject to approval or disapproval by the administrative officer to whom the committee reports. Therefore, the administrative officer assumes responsibility for the effect of such committee actions by acting upon them. All faculty committees listed in Section 2 of this Handbook are advisory.

2.1.1.2 Administrative Committees
Administrative Committees or Boards (for example, the Institutional Review Board or Institutional Animal Care and Use Committee) are established in response to extramural requirements and function according to the procedures established by such external agencies. An administrative committee may serve in an advisory capacity to an administrator or administrative unit; however, in order to comply with extramural policies the actions of the administrative committees may supersede the authority of any administrative officer or unit as long as those actions fall within the defined authority of the committee.

2.1.2 Committee Guidelines
Every faculty committee described in this Section will be subject to the following general guidelines:

2.1.2.1 Organizational Year
The organizational year for each committee is June 1 to May 31.

2.1.2.2 Elections
1. Elections for faculty committees will take place in the month of April; the authority for those members elected will commence the 1st day of the following June. Procedures for election are defined in Section 3.5.

2. Committee members are elected from among the Voting Faculty (see Section 3.1.1), unless otherwise specified.

3. Unless numerically impossible or superseded by other guidelines, elections for committees which specify multiyear terms will be scheduled in such a way that at least one new member is elected each year. On those committees for which clinical and basic science membership is specified, to the extent possible elections will be scheduled in a manner that elects at least one new clinical member and one new Biomedical Science member each year.
4. If a vacancy appears on any committee during the year a replacement member will be elected at the next meeting of the Faculty Assembly or (at the discretion of the Faculty Chairperson) by electronic vote to complete the term of the departing committee member.

2.1.2.3 Meeting Schedule

1. While some committees may wish to organize in June and work during the summer, each committee will hold its first organizational meeting by the end of August; the meeting will be called by the past year’s committee chairperson or (in the event that individual is not available) other ranking officer.

2. The purpose of the first meeting is to elect committee officers, transfer records to the new committee, and advise them of any unfinished business. The results of committee elections will be conveyed to the Faculty Chairperson and Vice President for Academic Affairs and Dean as soon as they become available.

3. If the Faculty Chairperson has not received notification of the committee elections by the specified time, a temporary chair will be appointed by the Faculty Chairperson for purposes of calling the first meeting.

4. Unless otherwise specified in the committee definition, committees will meet a minimum of two times a year. Additional meetings will be scheduled as committee responsibilities dictate.

2.1.2.4 Committee Officers

Committee officers will consist of a chairperson, a vice-chairperson if desired and a recording secretary if desired. Unless otherwise specified in this Handbook, officers are elected from among the voting members of the committee for a one year term.

2.1.2.5 Meeting Minutes

1. Every meeting of the committee, regardless of length, will be recorded through minutes.

2. Minutes will be taken by the secretary or designated staff member and a copy of all non-confidential minutes will be posted on the WVSOM web site. The minutes will include:
   - The committee name;
   - Date of the meeting (regular, called, etc.);
   - Members present (by name); and
   - The body of the report.

3. Each committee may establish its own methods for approval of minutes. If not otherwise established by the committee, draft minutes will be distributed by email to members (with a copy to the Vice President for Academic Affairs and Dean and/or the Dean’s designee) and considered approved if corrections are not received from a member within two weeks of distribution. If draft minutes are amended, the revised minutes will also be distributed by email and will have a note at the top of the first page indicating the date of the revision. The Dean and/or his designee will maintain an electronic copy of the minutes in a place accessible to all faculty.
2.1.2.6 Business Requiring Faculty Input
Committee business that might be considered by the Faculty Assembly will be presented to the Chairperson of the Faculty Council, who will bring this to the Faculty Council for consideration at their next meeting.

2.1.2.7 Subcommittees
Any committee has the authority to temporarily create subcommittees or invite outside consultants on either a regular or ad hoc basis to address specific issues. Subcommittees and consultants may include, but are not restricted to, alumni, faculty, and students. If any costs are involved in the inclusion of external individuals or groups, such costs must be submitted to the administrator to whom the committee reports for prior approval.

2.1.2.8 Participation in Committee Work
Every faculty member elected to a committee is expected to attend all meetings called by the chairperson and to perform any work assignments made by the chair, unless sufficient reason can be provided for non-participation. If the committee chair feels that a member is not participating adequately in committee work, then a written statement of reasons for non-participation may be requested. If the reasons provided are insufficient, or if no response is forwarded, then the member may be asked to resign so that a working member can be elected to the position. If such a resignation occurs, all paperwork relating to the case will be forwarded to the appropriate Departmental Chairperson and the Vice President for Academic Affairs and Dean for inclusion in the faculty member's file. An elected committee member may resign from a committee if, for unseen circumstances, he or she can no longer participate in the work of the committee.

2.1.2.9 Temporary Replacement of Committee Member
In the event that a member of a committee is granted sick leave, academic leave, or is otherwise absent for an extended period of time the committee chairperson may request that the Chairperson of the Faculty Council, in consultation with the Faculty Council, appoint a temporary replacement. The replacement will be selected from the same constituent group as the elected committee member. This appointment will remain in effect until the elected committee member returns to campus.

2.1.3 COMMITTEE DEFINITIONS

2.1.3.1 Faculty Council
The Faculty Council is described in Section 3.4 of the Faculty Handbook.

2.1.3.2 Promotion and Tenure Committee
1. The Promotion and Tenure Committee is advisory to the Vice President for Academic Affairs and Dean.

2. The function of this committee is to establish criteria for promotion and tenure, and evaluate and recommend approval or disapproval of faculty candidates for advancement in rank or tenure.

3. The committee is composed of five tenured faculty members with at least one member from the Biomedical Science department and at least one member from the clinical departments.
4. Committee members are elected for three-year terms, the terms being staggered so that no more than two are elected in any one year. No member may serve more than two consecutive terms.

2.1.3.3 Research Committee

1. The Research Committee is advisory to the Associate Dean of Affiliated and Sponsored Programs.

2. The function of this committee is to facilitate and advise on research activity at the institution. The committee recommends the allocation of equipment, space, and intramural funding assigned to research from state resources. (Criteria for Research Committee recommendations on intramural research grants are provided in Appendix 6.)

3. The committee is composed of five faculty members, with at least one member from the Department of Biomedical Sciences and at least one member from the clinical departments. The Associate Dean of Affiliated and Sponsored Programs is an ex-officio member, without vote. The Associate Dean for Osteopathic Medical Education is advisory to the Committee.

4. Committee members are elected for two-year terms, with terms staggered so that no more than three new members are elected in any one year. No member may serve more than three consecutive terms.

2.1.3.4 Educational Resources Committee

1. The Educational Resources Committee is advisory to the Vice President for Academic Affairs and Dean.

2. The function of the committee is two-fold: to explore the use of newly developing educational resources at the institution and to assess the utility of currently used educational resources. The exploration and assessment functions will be applied to educational technology and physical plant facilities.

3. The committee is composed of four faculty members, at least one of whom is from a clinical department and at least one of whom is from the Department of Biomedical Sciences, the Director of Information Technology, the Director of the Library, the Director of the Office of National Boards and Exam Center, a representative from WVSOM’s State Wide Campus, and a representative from Media Services are also voting members. Additional staff members may be invited to participate, as needed, but will not have a vote.

4. Faculty committee members are elected for two year terms, the terms being staggered so that no more than two are elected in any one year.

5. Student input will be provided by a student subcommittee, consisting of Year 1 and Year 2 class officers and the student curriculum committee representatives, chaired by a faculty member of the full committee.

2.1.3.5 Academic Development Committee

1. The Academic Development Committee is advisory to the Associate Dean of Assessment and Educational Development.
2. The function of the committee is to facilitate opportunities for faculty to improve and enhance their academic and administrative skills. The means available for providing opportunities may include:

- In-service day
- Special workshops
- Noontime seminars
- Obtaining speakers for special topics
- Upon request, provide assistance with submission of academic development grants

In addition, the committee may recommend policies regarding opportunities for professional development at the institution (exclusive of research and service).

The committee will review and make a recommendation for academic innovation intramural grants, using the criteria as set forth for intramural academic innovations (criteria attached as Appendix 7). Recommendations are submitted to the Associate Dean of Assessment and Educational Development who will submit the recommendations to the Vice President for Academic Affairs and Dean for final approval.

3. The committee is composed of five faculty members, with at least one member from the Department of Biomedical Sciences and one member from the clinical departments. The Associate Dean of Assessment and Educational Development is an ex-officio member without vote.

4. Committee members are elected for two-year terms staggered so that no more than three new members are elected in any one year.

2.1.3.6 Curriculum Committee

1. The curriculum committee is advisory to the Vice President for Academic Affairs and Dean.

2. The function of the committee is to assist with the development and maintenance of the curricula appropriate to the mission and goals of the institution.

Responsibility to assist with the development of the curricula necessitates that proposed curricular changes including but not limited to contact time, schedule time, and course objectives be presented to the committee for review and approval before implementation.

3. The committee is composed of twelve voting members: four faculty from the Department of Biomedical Sciences, with not more than two basic scientists from the same discipline (pharmacology, anatomy, etc.) on the committee at the same time; four faculty from the clinical departments with at least one of the latter representing the Department of Osteopathic Principles and Practice and at least one representing the Department of Clinical Sciences; and two educators from years three and/or four who are appointed by the Vice President for Academic Affairs and Dean. Students in the second and third years will elect a peer to represent them as voting members on the committee. Students in the first and fourth years will elect a peer to serve as a backup/alternate for the second and third year student representative respectively.
4. The voting members of the committee are elected, or appointed by the Dean as described above, on a staggered basis for three year terms. Students are elected annually. No member may serve for more than two consecutive terms.

5. Regional Assistant Deans as well as the Associate Deans for Preclinical Education, Predoctoral Clinical Education, Osteopathic Medical Education, Graduate Medical Education, and Assessment and Educational Development or their designees are ex-officio members, without vote.

6. At the beginning of the academic year the committee will elect a chairperson and vice-chairperson, and determine a meeting day and time for that academic year, which may be supplemented with additional meetings, if deemed necessary.

7. Meetings may be called by the chairperson or by a simple majority of voting committee members.

2.1.3.7 Osteopathic Principles and Practice Integration Committee

1. The Osteopathic Principles and Practice Integration Committee is advisory to the Vice President for Academic Affairs and Dean.

2. The function of this committee is to serve as an educational resource for the integration of Osteopathic Principles and Practice in courses, disciplines, and systems in all years of the predoctoral and postdoctoral curricula.

3. The Committee is composed of each Department Chairperson or his/her designated representative, the Associate Deans for Preclinical Education, Predoctoral Clinical Education, Graduate Medical Education, Osteopathic Medical Education, or a designated representative, and four faculty members at-large elected by the Faculty, each serving a two year staggered term. The Chairperson of the committee will be the Associate Dean for Osteopathic Medical Education.

2.1.3.8 Admissions Committee

1. The Admissions Committee is advisory to the Vice President for Academic Affairs and Dean.

2. The function of the committee is to recommend candidates to the Vice President for Academic Affairs and Dean for admission to the school. In addition, it proposes admissions standards and policy to the Vice President for Academic Affairs and Dean.

3. The committee is composed of seven voting members: three members of the Department of Biomedical Sciences or their designees, three members of the clinical departments or their designees, with at least two of the latter being osteopathic physicians, and a Dean appointed member. This Dean appointed member must be a DO, but need not be affiliated with WVSOM. The Associate Dean for Osteopathic Medical Education, the Director of Student Affairs and Director of the Office of Rural Recruitment and Retention are advisory to the committee.

4. Committee members are elected for a three-year term in such a way that one member from the Department of Biomedical Sciences and one from the clinical departments is elected each year.

5. The Director of Admissions is an ex-officio member without vote.
6. Each year, the committee will determine a meeting day and time for that academic year. Additional meetings may be called, if necessary, by the chairperson or by a simple majority of voting committee members.

2.1.3.9 **Student Promotions Committee**

1. The Student Promotions Committee is established by Institutional Policy (ST-01) Academic and Professional Standards, and is advisory to the Associate Dean for Preclinical Education, Associate Dean for Predoctoral Clinical Education and Vice President for Academic Affairs and Dean as appropriate.

2. The function of the committee is to review student academic and professional performance and recommend promotion, remediation, or dismissal. The committee is also responsible for considering student matters referred by an administrative authority for recommendation of penalties/sanctions for violation of policy including by not limited to standards of conduct, academic integrity and professional conduct. Appeals may also be referred to the committee for consideration. The committee is responsible for reviewing the academic and professional performance of each student and grants promotion to the next academic year, as well as approval for graduation. In addition, it proposes student academic policy to the faculty for adoption.

3. The committee is composed of nine faculty members-at-large elected by the faculty. At least 4 members must be from the Clinical Science or OPP Departments and 4 must be from the Biomedical Science Department.

4. Elected faculty members serve for a three-year term with no more than two terms served consecutively; the terms of the members are to be staggered so that 3 members including at least one clinician and one biomedical faculty member are elected each year.

5. The chairperson of this committee is elected by the committee to a one-year term.

2.1.3.10 **Student Financial Aid Committee**

1. The Student Financial Aid Committee is advisory to the Vice President for Finance and Facilities.

2. The function of the committee is to review and approve the Student Budget Justification Document and review and recommend any major policy changes in the awarding of financial aid to WVSOM students.

3. This committee is composed of three faculty members with at least one member from the Department of Biomedical Sciences and one member from the clinical departments, and a student member appointed by the President of the Student Government Association. The student member will vote only on policy matters in order to protect the student and prevent any unnecessary student pressure. Meetings of the committee may be convened by the Director of Financial Aid or at the request of one of the committee members. The Director of Financial Aid is an ex-officio member, without vote.

4. Faculty committee members are elected for two-year terms staggered so that no more than two members are elected in any one year.

2.1.3.11 **Fact Finding Committee**

The Fact Finding Committee is established by Institutional Policy (ST-01), Academic and Professional Standards.
1. The Fact Finding Committee investigates alleged violations of policy or any other matter as directed by an Associate Dean or the Dean where disputes of material facts exists that require independent findings of fact.

2. The jurisdiction and authority of the Fact Finding Committee shall be to (1) hear evidence and (2) make findings of fact from the evidence presented. The Committee issues a written report on its conclusions to the Associate Dean or Dean that referred the matter. The Fact Finding Committee neither recommends nor imposes sanctions and cannot overturn any prior decisions on a matter. The Committee may reconsider a matter under certain circumstances (e.g., new evidence) if so directed by an administrative authority.

3. The Fact Finding Committee is composed of eight faculty representatives, with at least three members from the Department of Biomedical Sciences and three members from the clinical departments, elected by the faculty. These eight shall elect one member to serve as chairperson for the academic year. The procedures for Fact Finding Committee Hearings are specified in the Fact Finding Committee Procedures document.

4. Fact finding committee members are elected to two-year terms staggered so that no more than four new members are elected in any one year. The Committee will meet only as necessary.

5. Each class of the first- and second-year student body shall elect three (3) students to serve as representatives to the Fact Finding Committee on cases of cheating or non-academic matters.

2.2 Faculty Representatives

2.2.1 REPRESENTATIVE GUIDELINES
Unless specified by the committee or board upon which the representative serves, each faculty representative will be subject to the following general guidelines:

2.2.1.1 Membership Year
The membership year for each representative is the fiscal year, i.e., July 1 to June 30.

2.2.1.2 Elections
1. Elections for faculty representatives will take place in the month of April. Procedures for the election are defined in Section 3.5.

2. Faculty representatives are elected by the voting faculty.

2.2.1.3 Minutes
The minutes of each meeting that are received by the representative will be posted to the WVSOM web site or copied to the Faculty Chairperson for distribution to the Faculty Council and, if necessary, to the faculty as a whole.

2.2.2 REPRESENTATIVE DESCRIPTIONS

2.2.2.1 Faculty Representative to the Institutional Board of Governors
The Faculty Representative shall be elected in April of odd numbered years to serve a two-year term. A member may serve up to four successive terms.
2.2.2.2 **State Advisory Council of Faculty**

1. This council is established by W.VA. Code §18B-6-2a.

2. During the month of April of each odd-numbered year a faculty representative and alternate must be elected. The term of office shall be two years. A member may serve up to four successive terms.

3. The council, through its chairperson and in any appropriate manner, shall communicate to the Higher Education Policy Commission, through the chancellor, matters of higher education in which the faculty members may have an interest.

2.2.2.3 **Faculty Representative to the Affirmative Action Committee**

The function of this Committee is described in Section 1.4.5. Three faculty members are elected to the committee.

2.2.2.4 **Faculty Representatives to the Institutional Review Board**

The function of this Committee is described in Section 1.4.6. As outlined there, five faculty members are appointed to the committee and must have the specific areas of expertise described in that section.

2.2.2.5 **Faculty Representatives to the Institutional Animal Care and Use Committee**

The function of this Committee is described in Section 1.4.7. As outlined there, three faculty members are appointed to the committee.

2.2.2.6 **Faculty Representatives to the Residency Appeals Committee**

The function of this Committee is described in Section 1.4.9. Two faculty members are elected as representatives to this committee. They are elected to two-year terms, preferably on alternate years.
SECTION 3 - FACULTY BY LAWS

3.1 Faculty Membership
For meeting purposes, the faculty is composed of two groups, voting and non-voting members. See Institutional Policy PE-02: Faculty.

3.1.1 Voting Faculty
With the exception of individuals holding administrative appointments as Deans, the Voting Faculty is made up of all faculty members with tenure-track contracts, full-time temporary faculty, and campus-based part-time faculty members with appointments equal to or greater than 0.53 FTE.

The Voting Faculty is accorded the privileges of voting and serving in elected positions (Section 3.5.1).

3.1.2 Non-Voting Faculty
The non-voting faculty is composed of:
1. Faculty holding appointment of less than 0.53 FTE;
2. Volunteer, visiting, and service faculty;
3. Members of the administration with teaching appointments; and
4. Professors Emeriti (Section 4.4.1).

3.1.3 Petition to Become a Voting Faculty Member
A non-voting faculty member may submit a petition on a yearly basis describing his/her instructional duties to the Faculty Chairperson to be granted an exception to the non-voting classification. Approval of the petition requires a two-thirds majority of the Faculty Assembly present at the meeting when the petition is considered. Such petitions are generally heard prior to Committee elections in the spring, but they may be submitted at any time.

3.2 Conduct of Faculty Meetings

3.2.1 Convening a Meeting
1. Meetings at which official business of the voting faculty is conducted will be referred to as the Faculty Assembly.
2. The Faculty Assembly will meet at least once per quarter, at a time determined by the Chairperson of the Faculty Council at the beginning of the year. Such schedule will be distributed to each faculty member at that time.
3. Additional meetings of the Faculty Assembly may be called for an expressed purpose by the Faculty Chairperson (Faculty Council), by 50% of the voting faculty, by the Vice President for Academic Affairs and Dean, or by the President.
4. Any meeting called by the administration shall be classified as an informational meeting and no voting may be conducted.
5. Any special meetings not regularly scheduled must be announced by an e-mail or written notice placed in the on-campus mailbox of each Faculty member at least 24 hours in advance of the special meeting. Three to seven days advanced notice is preferred.
6. State code §18B-6-3-f specifies that the college president shall meet with the “faculty senate” at least quarterly to discuss matters affecting the faculty and the effective and efficient management of the institution.

http://www.legis.state.wv.us/WVCODE/ChapterEntire.cfm?chap=18b&art=6&section=3#06

7. State code §18B-6-3-g specifies that the governing board of the institution shall meet at least annually with the faculty senate to discuss matters affecting faculty and the effective and efficient management of the institution.

3.2.2 Faculty Assembly Agenda

1. The agenda for Faculty Assembly is established by the Faculty Chairperson, in consultation with the Faculty Council. Agendas for meetings called under the other two options are established by the individual(s) initiating the call. The agenda and related documents must be distributed via email at least 24 hours prior to the meeting.

2. Once the Faculty Assembly has been convened, the agenda may be modified by a 2/3 vote of those voting faculty present.

3.2.3 Quorum

A meeting of the Faculty Assembly is not official, nor may any voting take place, unless 40% of the voting faculty is present.

3.2.4 Conduct of Faculty Meetings

Robert's Rules of Order, Revised, is the parliamentary authority for the conduct of the Faculty Assembly except where it is superseded by the Faculty By-Laws. The Faculty Secretary serves as Parliamentarian.

3.2.5 Visitors

1. A visitor is anyone who is not a member of the faculty of the institution.

2. Visitors may be admitted to a meeting of the Faculty Assembly through invitation by the Faculty Chairperson to specific individuals whose presence is required by the business of the meeting. Alternatively, if a more general participation by other groups is desired, the Faculty Chairperson may issue group invitations.

3. Visitors may also be admitted to, or removed from, the Faculty Assembly by majority vote of the voting faculty present. Debate on a motion to admit or remove a visitor is limited to one speaker in favor of and one in opposition to the motion.

3.2.6 Meeting Minutes

1. An account of the proceedings of each meeting of the Faculty Assembly is recorded by the Faculty Secretary. In the case where the Secretary cannot attend the meeting, the Faculty Chairperson will appoint someone from among the faculty present.

2. The unapproved minutes are to be circulated to the faculty by e-mail before the next faculty meeting.

3. The minutes shall be corrected or approved at the next meeting of the faculty assembly, or may be regarded as approved if circulated by email and no corrections are received within two weeks. Once approved they will be posted on the WVSOM web site.
4. Approved, official minutes are kept by the Vice President for Academic Affairs and Dean where they may be made available for inspection.

3.3 Officers of the Faculty

3.3.1 Faculty Chairperson
The Faculty Chairperson presides over the Faculty Assembly, serves as Chair of the Faculty Council, and acts as the principal spokesperson between the faculty as a body and the administration and other non-faculty groups. The Faculty Chairperson also serves as Faculty Marshal, subject to approval by the President.

3.3.2 Faculty Vice-Chairperson
The Faculty Vice-Chairperson acts as chairperson in the absence of that individual.

3.3.3 Faculty Secretary
The Faculty Secretary is responsible for recording and distributing Faculty Assembly minutes and for assisting the Chairperson in matters of announcements and polling.

3.4 Faculty Council

3.4.1 Membership
The Faculty Council is made up of the Officers of the Faculty (Section 3.3) and two members-at-large elected from among the voting faculty. There must be at least one member from the Department of Biomedical Sciences and one member from the clinical departments on the Council.

3.4.2 Terms of Office
1. All members of the Faculty Council are elected to two-year terms. As specified in state code §18B-6-3-d, the chairperson of the faculty council is limited to two consecutive two year terms. [Link to Code]
2. The members of the Council are elected in the spring; the authority of the Council begins June 1.

3.4.3 Duties
In general, the function of the Council is to develop faculty policy for consideration by the faculty and represent faculty opinion to the administration and other non-faculty groups. Specifically, the Council is charged with:

1. The preparation of particular policy proposals (including reasonable alternatives) for adoption by the faculty as a whole; and

2. Participation in the development of policy proposals by the administration in cases where those proposals may affect faculty business; and

3. The representation of faculty opinion or desires to the administration, especially the Vice President for Academic Affairs and Dean.
3.4.4 Meetings

1. Faculty Council meetings will be called by the Faculty Chairperson, or, if unavailable, the Vice-Chairperson. A majority of the Council may also call a meeting, if the Faculty Chairperson refuses that request.

2. A quorum will consist of three members; no official business may be conducted without the requisite three members in attendance.

3. Minutes of each meeting will be recorded by the Faculty Secretary. Unless the Council chooses another procedure for approval of minutes, the draft minutes will be distributed to each Council member and no comments are received within one week, the minutes will stand as approved. A copy sent to the office of the Vice President for Academic Affairs and Dean and/or designee to retain on file.

4. All faculty are openly invited to Council meetings. Non-faculty visitors may be admitted under the guidelines established for faculty meetings (Section 3.2.5.).

3.5 Faculty Elections

3.5.1 Eligibility

All voting members of the faculty (Section 3.1.1) are eligible to vote in elections for officers of the faculty, representatives to various non-faculty committees, and members of faculty committees; such voting faculty are similarly eligible to be nominated to these positions as long as any other prerequisites are met.

3.5.2 Preparation for Election

During the first two weeks of April, the Faculty Chairperson will distribute an electronic copy of a memorandum to the voting faculty stating the current representative to a board or committee, or membership of each committee, and the positions which must be filled for the next year. The memorandum will also request, in some form, a statement from each faculty member indicating the positions they would be willing to fill. By indicating a preference, it is assumed that the faculty member is willing to serve as a representative or as a member of a committee. The nomination forms will be returned electronically or through a hard copy to the Faculty Chairperson within one week. At that time, the Chairperson will compile a preliminary ballot listing all faculty who are willing to serve as a representative to a board or committee, or a member of each committee. An electronic copy of this preliminary ballot will be distributed to each voting faculty member. Additional preferences for each vacancy will be accepted for one week, and any additional names will be added to the ballot.

3.5.3 Conduct of Elections

Elections will be conducted according to the following guidelines:

1. An electronic copy of the completed ballot will be posted with a deadline for voting being set for one week after receipt. Each faculty member may vote for a number of faculty nominated which equals the number of vacancies on the committee.

2. At the conclusion of the voting period the ballots will be tallied by at least three members of the Faculty Council. The individual with the most votes will fill a single position; if multiple positions are to be filled, the next individual with the most votes will be added to the committee until all vacant positions are filled.
3. A list of the winning candidates for each vacant position will then be circulated to the Faculty. A faculty member selected for a committee may decline a position because of being selected for other positions which he/she deems more important for them. In that case, the Faculty Council will add the person with the next highest vote to the committee until all positions are filled. In the event of a tie vote, the Chairperson will notify the faculty electronically, and a run-off election will take place within a week.

3.6 Amendment of Faculty Handbook

3.6.1 INITIATION OF AMENDMENTS

1. Amendments to portions of the Handbook under the authority of the faculty (Section Two, Faculty Committees and Representatives, Section Three, Faculty By-laws, and Section Five, Evaluation, Promotion and Tenure) may be initiated by either an individual faculty member or by the Faculty Council.

2. Amendments to portions of the Handbook under the authority of the institutional administration or the State (Section 1 Institutional Governance and Section 4 Faculty Organization and Procedures) may be initiated by the Vice President for Academic Affairs and Dean.

3. A draft of the proposed amendment must be submitted to the Faculty Council at least one week before it is to be considered by the Council.

3.6.2 REVIEW BY FACULTY COUNCIL

The Faculty Council will examine the proposed amendment and determine:

1. If it conforms to state statutes, institutional policies and other portions of the handbook; and, if it fits the editorial style of the Handbook.

2. The Council will then meet with the initiator and make any changes necessary to meet the requirements stated above.

3. The Council will distribute electronic copies of the proposal to each faculty member at least one week before the next meeting of the Faculty Assembly, but no earlier than three weeks prior thereto.

3.6.3 CONSIDERATION AND VOTE BY THE FACULTY ASSEMBLY

1. The proposed amendment will be considered as an agenda item by the Faculty Assembly. The faculty will discuss, debate, and, if necessary, amend the proposal.

2. Amendments to the faculty controlled sections of the handbook are approved by a 60% majority vote of the faculty present.

In the event that extensive revisions are necessary to the original amendment proposal, the final form of the amendment may be distributed to the faculty in written form and approved or disapproved by ballot without calling an additional faculty meeting. Faculty members who will not be on campus during the voting period may arrange to cast an absentee ballot.

3. Amendments to Sections 1 and 4 do not require a faculty vote but a vote representing faculty opinion may be requested.

3.6.4 REVIEW BY THE VICE PRESIDENT FOR ACADEMIC AFFAIRS AND DEAN

1. Within 5 working days after affirmation by the Faculty Assembly, the amendment must be submitted to the Vice President for Academic Affairs and Dean.
2. Within 10 working days after receiving the amendment, the Vice President for Academic Affairs and Dean must return it to the Faculty Council specifying either:
   - Full approval;
   - No decision, with a request for modification; or
   - Veto, with a written explanation.

If the Vice President for Academic Affairs and Dean specifies modifications, then a revised amendment proposal is submitted to the Faculty Assembly for approval. In any case, the action of the Vice President for Academic Affairs and Dean must be communicated to the faculty.

3. If the Faculty Council receives no response from the Vice President for Academic Affairs and Dean within 10 working days after receipt of the amendment, it is considered to be approved as submitted.

4. Approved amendments are submitted to the Academic Policy Committee and the President for final approval.

3.6.5 DISPOSITION OF THE AMENDMENT

A final copy of the approved amendment will be distributed to each faculty member and to the institutional academic administration.

The amendment will be entered into the official copy of the Faculty Handbook by the means currently approved.
SECTION 4 - FACULTY ORGANIZATION and PROCEDURES

4.1 Hiring Policies

4.1.1 **EQUAL OPPORTUNITY POLICY**
WVSOM will not discriminate against any employee, applicant for employment, student or applicant for admission on the basis of race, ethnicity, color, religion, sex (including pregnancy), gender, gender identity, sexual orientation, national origin, age, marital status, veteran or military status, disability, genetic information, or other category that is protected under federal, state, or local anti-discrimination laws.

4.1.2 **RECRUITMENT OF FACULTY**
The process of faculty recruitment is carried out through the Office of Human Resources with the assistance of the Department in which the individual is to serve. The Search and Committee is appointed by the Department Chairperson in consultation with the Associate Vice President of Human Resources and Affirmative Action Officer. The responsibility for verifying academic and professional credentials for faculty is carried out by the Office of Human Resources.

4.1.3 **FACULTY APPOINTMENTS**
Faculty appointments are officially defined by Institutional Policy PE-02: Faculty.

4.2 Responsibilities and Privileges of Employment
(Evaluation, Promotion, and Tenure policies are defined in Section 5 of the Faculty Handbook.)

4.2.1 **FUNDAMENTAL RESPONSIBILITIES AS A BODY**
Faculty members, in accordance with the best traditions of higher education have a responsibility to improve their effectiveness in carrying out their professional roles. The faculty member is responsible for pursuing his/her professional development in accordance with the mission of the institution.

4.2.1.1 **Teaching**
The faculty is to provide instruction and training to, and evaluation of, students at the institution.

4.2.1.2 **Academic Policy**
The faculty advises the Vice President for Academic Affairs and Dean concerning the determination of academic policy including curriculum content (Curriculum Committee).

4.2.1.3 **Entrance Requirements and Admission**
The faculty advises the Vice President for Academic Affairs and Dean regarding determination of student entrance requirements and recommends individual candidates for admission (Admissions Committee).

4.2.1.4 **Academic Standards**
The faculty recommends and maintains the academic standards of the institution, including student grading, and promotion (Student Promotions Committee).
4.2.1.5 Requirements for Graduation
Within the confines established by the American Osteopathic Association Commission on Osteopathic College Accreditation, the faculty assists the Vice President for Academic Affairs and Dean in determining requirements for the degree of Doctor of Osteopathic Medicine and, having determined that all requirements for the degree have been fulfilled, recommends candidates for graduation through the Student Promotions Committee and approves the graduates through a faculty vote.

4.2.1.6 Research
The faculty assists in overseeing the research and professional development mission of the institution, and makes recommendations for allocating funds from the research budget to individual faculty (Research and Academic Development Committees).

4.2.1.7 Biohazards
Using guidelines established by appropriate external agencies, the faculty assists in the establishment of procedures concerning the use of radioisotopes and bio-hazardous materials at the institution (Research and Biosafety Committees).

4.2.1.8 Human Subject Research
Following standards established by Federal statute, the faculty participates in the enforcement of standards for human subject research (Institutional Review Board).

4.2.1.9 Animal Care and Use
The faculty monitors the operation of the animal facilities to insure that federal, state, and municipal requirements for animal care are met. The faculty also reviews all applications for the use of animals in research and teaching (Institutional Animal Care and Use Committee).

4.2.1.10 Student Recruitment
The faculty advises the Vice President for Academic Affairs and Dean regarding student recruitment (Admissions Committee).

4.2.2 FUNDAMENTAL RESPONSIBILITIES AS INDIVIDUAL FACULTY

4.2.2.1 Presence on Campus
1. Faculty members are expected to meet their responsibilities in presenting lectures, laboratories or clinical instruction, clinical practice, student counseling, research, and/or service.
2. Faculty members are covered by Institutional Policy PE-03: Institutional Holidays and Employee Leave.
3. Faculty members may take approved business leave. Institutional leave forms must be submitted to, and approved by, the appropriate supervisor prior to the beginning of the leave.

4.2.2.2 Teaching
Teaching is the fundamental purpose of WVSOM and of the WVSOM faculty.
4.2.2.3 Institutional Service

1. Members of the faculty are expected actively to participate as members of faculty committees and other institutional representative responsibilities as elected or assigned.

2. All committee and representative assignments are accomplished through faculty elections in April.

3. The responsibilities for faculty committees are defined in Section 2.1; those for the faculty representatives are defined in Section 2.2.

4.2.2.4 Research

1. Research is a universally-accepted vehicle for professional development. It is the right and responsibility of every faculty member to pursue research and/or some equivalent scholarly activity after teaching and committee responsibilities have been satisfied.

2. The institutional research physical plant is established for common use and any faculty member may submit a request for research space from the Research Committee which makes recommendations for use of research space to the Vice President for Administration and External Relations.

3. Intramural research grant monies are available for equipment, supplies, and salary supplement and may be requested through application to the Research Committee in the spring of each year. Recommendations for available funds are made on the basis of project merit and past productivity of the investigator. Recommendations are made to the Director of Sponsored Programs/Chief Research Compliance Officer. The Director of Sponsored Programs/Chief Research Compliance Officer, in consultation with the Vice President for Academic Affairs and Dean, shall make final recommendations to the President, who has final approval.
   - Extramural grant proposals must be approved by the Director of Sponsored Programs/Chief Research Compliance Officer prior to submission.
   - Researchers may request college leave and funding to present their work at professional meetings.
   - The Director of Sponsored Programs/Chief Research Compliance Officer has the responsibility to assist faculty with research projects in any way possible.
   - Inactive researchers may lose space and funding if these resources are required by active research faculty.

4.2.2.5 Service

1. Service to the state and local community is a recognized vehicle for career development, if it utilizes facilities available at the institution and/or expertise unique to the individual faculty member and is aimed at the educational and medical missions of the institution.

2. A faculty member may request institutional leave to conduct service projects.

3. Service will be approved at the discretion of the Department Chair and/or evaluating supervisor and the Vice President for Academic Affairs and Dean.

4.2.2.6 Clinical Practice

The practice of medicine, and particularly one's medical specialty, is a universally accepted vehicle for professional development. Clinical Faculty are strongly encouraged
to pursue practice opportunities at the Robert C. Byrd Clinic to maintain their clinical competence.

4.2.7 Recusal

4.2.7.1 Healthcare Providers and Student Performance Evaluation
Faculty members who provide health, medical, or psychiatric/psychological services to a student, have a close personal or familial relationship to a student or have other conflicts of interest pertaining to a student must recuse themselves from the following:

- Participation in grading or clinical assessment of the student
- Participation in decisions related to promotion of the student
- Participation in WVSOM committee discussions of and voting on the student

4.2.7.2 Healthcare Providers Providing Professional Services to Other Faculty Members
Faculty members who provide health, medical, or psychiatric/psychological services to another faculty member, have a close personal or familial relationship to another faculty member or have other conflicts of interest pertaining to another faculty member must recuse themselves from discussions of and voting on that faculty member.

4.2.3 Privileges of Faculty Appointment

4.2.3.1 Outside Employment and Consulting
1. The faculty member shall render full-time service to the institution. Outside activities, except the practice of medicine, which is restricted below in subsection 4.2.3.1.2, shall not be restricted unless such activities or employment interfere with the adequate performance of academic duties. The institution expects its faculty to give full professional effort to assignments of teaching, research, and service. It is, therefore, considered inappropriate to engage in gainful employment outside the institution that is incompatible with the faculty member’s contractual commitment to the institution. Moreover, it is considered inappropriate to transact personal business from one’s institutional office when it interferes with institutional duties and responsibilities. The Administration shall establish a program of periodic review of outside services of appointees to guide faculty members.

2. Clinical faculty appointments will render medical patient services only at facilities affiliated with their assigned institution, or at such other locations or facilities as may be authorized in their annual notice of appointment, or otherwise approved in writing by the Vice President for Academic Affairs and Dean.

Fees for professional patient related services rendered by full-time medical faculty appointees shall be billed, collected and expended in accordance with the by-laws of the faculty practice plan for their respective institution, or through such other billing and collection mechanism as may be provided for in the faculty member’s annual notice of appointment, or as otherwise approved in writing by the Vice President for Academic Affairs and Dean.
• Fees for professional services not directly related to patient services including, but not limited to, royalties, honoraria, legal actions where no patient services have been rendered, or such other similar sources as may be approved in writing by the Vice President for Academic Affairs and Dean are permitted as individual income to the individual faculty member.

• If outside employment or service interferes with the performance of the regular institutional duties of the appointee, the institution has a right to a) require the appointee to cease such outside employment or service which interferes with institutional duties and responsibilities of the appointee, b) make such adjustments in the compensation paid to such appointee as are warranted by the appointee's services lost to the institution, and by the appointee's use of institutional equipment and materials, or c) dismiss for cause.

4.2.3.2 Political Activity

1. State law prohibits a State Government employee from holding office as a member of the West Virginia State Legislature.

2. Political activities on campus are also prohibited.

3. There is no prohibition of faculty involvement in political activities after working hours or on personal time.

4.2.3.3 Academic Freedom

1. Academic freedom at WVSOM, a public institution of higher education, is necessary to enable the institution to perform its societal obligation. Faculty members and students must always remain free to inquire, study, and evaluate.

2. Through the exercise of academic freedom, members of the academic community freely study, discuss, investigate, teach, conduct research, and publish, depending upon their particular role at the institution. To all of those members of the academic community who enjoy academic freedom, there are, commensurate with such freedom, certain responsibilities. All faculty members shall be entitled to full freedom in research and in the publication of the results of such research, subject to the adequate performance of their other academic duties, which may include designated instruction, research, extension service, and other professional duties. Activity for pecuniary return that interferes with one's obligations to the institution should be based upon an understanding, reached before the work is performed, with the authorities of the institution. Further, each faculty member is entitled to freedom in the classroom in discussing the subject taught. In addition, when faculty members speak or write as citizens outside the institution, they shall be free from institutional censorship or discipline.

3. The concept of academic freedom is accompanied by an equally important concept of academic responsibility. The faculty member at a public institution of higher education in West Virginia is a citizen, a member of a learned profession, and a representative of an educational institution. As such, a faculty member, together with all other members of the academic community, has the responsibility for protecting, defending, and promoting individual academic freedom for all members of the community. The faculty member has the responsibility of contributing to institutional and departmental missions in teaching, research, and service as defined by the institution. The faculty member is responsible
also as a teacher for striving to speak with accuracy and with respect for the similar rights and responsibilities of others. In speaking only as an individual or for a limited group, the faculty member should not imply or claim to be a spokesperson for the institution in which he or she holds an appointment.

4. In addition to meeting the primary responsibilities of addressing institutional missions in teaching, research, and service as defined by the institution, all faculty have an obligation to foster the quality, viability, and necessity of their programs. The financial stability of a program and recruitment of an adequate number of students depend in part on the faculty. The common goal of quality must be nurtured and responsibility for it shared by all. Integrity, objectivity, and service to the purposes and missions of the institution are expected.

5. Faculty interests and skills change, disciplines evolve, and new professions or fields of study emerge. All faculty members are responsible for remaining current in their disciplines. All are encouraged to explore opportunities for further developing a versatile range of knowledge and skills that are important to the institution. Through individual initiative and faculty development programs, faculty members are encouraged to grow in competency in their own disciplines and strengthen their interests in related fields.

6. As members of an academic community, faculty members also are expected to participate in decisions concerning programs and in program-review processes.

4.2.3.4 Sabbatical Leave

Sabbatical leave may be granted to a faculty member so that he/she may engage in research, writing, or other activity calculated to contribute to professional development and usefulness to WVSOM. Requirements regarding granting of sabbatical leave are described in Institutional Policy PE-02.

4.2.3.5 Benefits

Faculty greater than .53 FTEs are eligible to receive benefits, as outlined in the WVSOM Employee Handbook. [https://www.wvsom.edu/handbooks/EmployeeHandbook](https://www.wvsom.edu/handbooks/EmployeeHandbook)

4.2.3.6 Faculty Resignations

A faculty member desiring to terminate an existing appointment during or at the end of the academic year, or to decline reappointment, shall give a minimum of 90 days notice. Professional ethics dictate due consideration of the institution’s need to have a full complement of faculty throughout the academic year.

4.2.3.7 Professional Ethics

The Commission on Osteopathic College Accreditation requires each college to have a statement of academic professional ethics for its entire administration, faculty and staff. The WVSOM Statement on Academic Professional Ethics may be found at [http://www.wvsom.edu/sites/default/files/u16/Statement_on_Academic_Professional_Ethics_6-25-11.pdf](http://www.wvsom.edu/sites/default/files/u16/Statement_on_Academic_Professional_Ethics_6-25-11.pdf)
4.3 Faculty with Administrative Responsibilities

4.3.1 DEPARTMENT CHAIRPERSONS

4.3.1.1 Administrative Status
The position of Department Chair is an administrative appointment serving at the
pleasure of the Vice President for Academic Affairs and Dean.

4.3.1.2 Faculty Status
Department chairpersons retain full membership privileges as voting faculty.

4.3.1.3 Responsibilities
The Department Chair is responsible for the operation of the department, including its
faculty, staff, and programs.

4.3.1.4 Chain of Command
The Department Chair of Biomedical Sciences reports to the Associate Dean for Preclinical
Education. The Department Chair of Clinical Sciences reports to the Associate Dean for
Predoctoral Clinical Education. The Department Chair of Osteopathic Principles and
Practice reports to the Associate Dean for Osteopathic Medical Education.

4.3.1.5 Term
The Department Chairs are appointed for a three-year term which ends on June 30th of
the final year of appointment. As an administrative appointment, Chairs serve at the
pleasure of the Vice President for Academic Affairs and Dean.

4.3.1.6 Process
1. The Vice President for Academic Affairs and Dean shall appoint the Department Chairs
after consideration of the recommendation of the appropriate Associate Dean and
members of the department.

2. No later than 60 days before a Chairperson’s three-year term ends, the appropriate
Associate Dean will poll the voting members of the department faculty, giving each
member the opportunity to indicate his or her first and second choice for department
chairperson and the rational for these recommendations. This polling will be done
anonymously, and results will be known only to the Associate Dean and to the Vice
President for Academic Affairs and Dean. The Associate Dean will then make a
confidential recommendation to the Vice President for Academic Affairs and Dean, who
makes the selection.

3. Alternatively, the Vice President for Academic Affairs may choose to post the position
and hire an external candidate, following WVSOM’s usual hiring process.

4.3.1.7 Vacancy
Should the position of Department Chair become vacant during a term, the Vice President
for Academic Affairs and Dean will appoint an acting successor until such time that a
permanent replacement is named.
4.3.1.8 **Contractual Obligation**
The Biomedical Sciences Department Chairperson will receive an annual administrative supplement for the additional service to the institution. The Clinical Department Chairpersons will receive an administrative supplement to compensate for reduced clinical practice income.

4.3.1.9 **Annual Evaluation**
1. The Associate Dean will be responsible for providing an annual written evaluation of the performance of each Department Chairperson under his/her supervision.
2. In evaluating each Department Chairperson, the Associate Dean will request information from all individuals within the Department.
3. The evaluation will also assess progress toward yearly goals as established for the Department.
4. The Associate Dean will provide a copy of the evaluation to each Department Chairperson. The original, along with a recommendation for retention / non-retention, will be transmitted to the Vice President for Academic Affairs and Dean.

4.4 **Emeritus**

4.4.1 **PROFESSORS EMERITI**
The institution may, at the time of a faculty member’s retirement, confer upon that individual the Emeritus Status. This honor is granted selectively in recognition of meritorious service.

4.4.1.1 **Definition of Entitlements**
Emeritus faculty members shall be entitled to:
1. Maintain their respective WVSOM email account,
2. Full access to the WVSOM Library including but not limited to interlibrary loan request. Any cost for interlibrary loans will be at the expense of the emeritus faculty member,
3. Maintain their access to receive on campus mail,
4. Maintain their privileges to the WVSOM Founder’s Activity Center,
5. Be listed as professor emeritus on the WVSOM webpage. In addition, a brief synopsis pertaining to their goals and accomplishments may be listed on the WVSOM faculty webpage. The accomplishments could include research awards, teaching awards, teaching accomplishments, or any other school related accomplishment.
6. Be listed on a plaque placed in the Library to show appreciation for all emeritus faculty.

4.4.1.2 **Mechanism**
The following mechanism will be used:
1. A recommendation for Emeritus Status is initiated by a letter from the Department Chairperson or Associate Dean or by letters from the nominee and two additional members of the voting faculty, delivered to the Chairperson of the Faculty. This letter must be accompanied by a summary of the individual’s contributions to the institution and the profession, including length of service, record of academic performance, and
leadership demonstrated while a member of the faculty. The Faculty Council may solicit additional information if it feels such information is necessary.

2. The Council will review all information and make a recommendation, which is confidential (not be published in Council minutes). The Faculty Chairperson will inform the retiring faculty member of the recommendation and the tally of votes. Unless the retiring faculty member chooses to withdraw the petition, the Faculty Chairperson will then take the Faculty Council’s recommendation to the Faculty Assembly for discussion and vote. The Faculty Assembly’s recommendation is submitted to the Vice President for Academic Affairs and Dean who, upon approval, then submits it to the President for consideration. Upon approval, it is then taken as a recommendation for approval to the Board of Governors.

4.4.1.3 Criteria
The basis for recommendation and approval of Emeritus status will rest upon, but not be limited to the following:

1. The length of service to the institution, generally of not less than ten years;
2. Service to the osteopathic profession;
3. The record of academic performance; and
4. Leadership demonstrated while a member of the faculty.

4.4.1.4 Title
Titles using “Emeritus” (for males) or “Emerita” (for females) are constructed by placing the modifier after the rank and title held at time of retirement: e.g., “Associate Professor Emeritus.”

4.4.2 EMERITUS ADMINISTRATORS
The institution may, at the time of retirement of an Administrator who holds a faculty appointment, confer upon that individual Emeritus Status. This title would generally be granted only to Senior Educational Administrators, i.e., the President and Vice President for Academic Affairs and Dean. This honor is granted selectively in recognition of meritorious service and must be submitted to the Board of Governors for approval or denial.

4.4.2.1 Definition of Entitlements
This honor carries with it the privilege of:

1. A listing in future college materials, where appropriate;
2. Use of the school library; and
3. Such other facility use as approved by the President.

4.4.2.2 Mechanism
The following mechanism will be used:

1. A recommendation for Administrator Emeritus status is initiated by individual letters from two members of the voting faculty, delivered to the Chairperson of the Faculty. This letter must be accompanied by a summary of the individual’s contributions to the institution and the profession, including length of service, record of academic performance, and leadership demonstrated while a member of the faculty. The Faculty Council may solicit additional information if it feels such information is necessary.
2. The Council will review all information and make a recommendation, which is confidential (not be published in Council minutes). The Faculty Chairperson will inform the retiring administrator of the recommendation and the tally of votes. Unless the retiring administrator chooses to withdraw the petition, the Faculty Chairperson will then take the Faculty Council’s recommendation to the Faculty Assembly for discussion and vote. The Faculty Assembly’s recommendation is submitted to the Vice President for Academic Affairs and Dean who, upon approval, then submits it to the President for consideration. Upon approval it is then taken as a recommendation for approval to the Board of Governors.

4.4.2.3 Criteria
The basis for recommendation and approval of Emeritus status will rest upon, but not be limited to the following:

1. The length of service to the institution generally of not less than ten years;
2. Service to the osteopathic profession;
3. The record of administrative performance; and
4. Leadership demonstrated while in office.

4.4.2.4 Title
Titles using “Emeritus” (for males) or “Emerita” (for females) are constructed by placing the modifier after title held at time of retirement: e.g., “Vice President Emeritus.”
SECTION 5 – EVALUATION, PROMOTION, AND TENURE

The West Virginia School of Osteopathic Medicine is primarily a teaching institution. In order to be considered for retention, tenure, or promotion, a faculty member must demonstrate appropriate teaching performance, professional development (which encompasses research and scholarly activities), and service to the institution, as defined in sections 5.2.2.1, 5.2.2.2, and 5.2.2.3. Community Service, as defined in section 5.2.2.3., may supplement, but not replace, research and scholarly activity or institutional service.

5.1 Faculty Tracks
Faculty tracks are defined in Institutional Policy PE-02. Every faculty member will be identified as being in one of the defined tracks.

5.2 Yearly Evaluation

5.2.1 Introduction
Faculty activities for evaluation fall into three main areas: teaching, professional development, and service. Goals for teaching, professional development, and service that are set and agreed upon by the faculty member and his/her Department Chairperson with concurrence of the appropriate Associate Dean and the Vice President for Academic Affairs and Dean should be in alignment with the time allocations of the appointment.

Pre-tenure faculty are evaluated by a Full Review yearly until they attain tenure. Included in the evaluation is a recommendation for retention or non-retention. Tenured Faculty are evaluated by a Full Review every third year with a Brief Review of progress in the off years. Tenured Faculty reviews do not include recommendation for retention or non-retention.

Both Full and Brief Reviews will be accompanied by evaluation for merit pay using the procedure established in the Merit Pay Policy.

5.2.1.1 Evaluation – Tenure Track Faculty
Full-time pre-tenure faculty are evaluated on a yearly basis at the institution in an effort to provide a means of identifying and commending effective academic and scholarly performance as well as to define those areas of performance requiring further development. The success of this process is dependent upon an objective evaluation of the faculty member; this, in turn, is founded on careful observation of performance in the requisite areas. The evaluations are based on explicit goals agreed to in the faculty member’s permanent file and are a major component of application for tenure or promotion. Near the end of his/her third year, each pre-tenure faculty member shall submit documentation of accomplishments to date to the Departmental Chairperson, to obtain written feedback of progress toward obtaining tenure from both the Departmental Chairperson and the Promotion and Tenure Committee.

It is the responsibility of the faculty member to make sure his/her self study is submitted by the required time and the responsibility of the Department Chair and Administration to provide evaluations in the required time periods as determined in the “Faculty Evaluation, Promotion, and Tenure Procedures” document.

5.2.1.2 Evaluation – Non-Tenure Track Faculty
Part-time and other faculty members with academic contracts as defined in Institutional Policy PE-02 are evaluated on a yearly basis through a limited review which provides a
means of identifying and commending effective academic and scholarly performance as well as defining those areas of performance requiring further development. The evaluation will be based on peer, student, and administrative reports, surveys, and observations when appropriate. A summary evaluation report shall be made to the appropriate Associate Dean and forwarded to the Vice President for Academic Affairs and Dean with recommendations for retention or non-retention, commendations, and/or areas of performance requiring improvement.

5.2.2 Evaluation Areas

All faculty shall be evaluated on a regular basis as described in Institutional Policy PE-02. Pre-tenured faculty are evaluated in depth yearly until they attain tenure status. Tenured faculty are evaluated in depth in the year prior to the end of each appointment contract but not more frequently than every four years with a brief review of progress in the off years. Individual faculty performance will be evaluated based on achievements in a selection of the following items appropriate to his/her assigned percentage of effort in teaching, professional development, and service, as negotiated with the faculty member’s Department Chairperson and with concurrence of the appropriate Associate Dean and the Vice President for Academic Affairs and Dean.

5.2.2.1 Teaching (Includes Educational Leadership)

Teaching encompasses all aspects of student education, including but not limited to classroom instruction, clinical instruction, tutorials, small-group facilitation, preparation, and administration of examinations, preparation of learning materials and counseling of students.

Specific areas for consideration include, but are not limited to:

- Teaching performance, evaluated by:
  - Review of teaching in annual Departmental evaluation documents, including corresponding letters from the Chair and Associate Dean, with indications of significant progress on, or completion of, agreed upon teaching goals and objectives and stated performance expectations
  - Review of student feedback; successive student feedback documents should indicate that previously identified deficiencies have been interpreted for validity and addressed or performance improvements are occurring, or being maintained.
  - Review of letters from faculty who have directly observed the faculty member’s teaching performance and/or reviewed his/her tests, etc.

- Receipt of teaching awards
- Invited lectures, courses, or workshops outside of the institution
- Development of original, high-quality teaching materials and methods
- Development of a new course or major course revision
- Providing additional education or training aimed at enhancing teaching effectiveness or knowledge of osteopathic medicine
- Clinical teaching of students, interns, and residents on and off campus
- Teaching students in the research laboratory (mentoring)
- Curricular content management
- Individual tutoring, mentoring, and counseling of students
• Mentoring other faculty
• Educational leadership assignments integral to a position’s purpose, such as coordinator of faculty development

5.2.2.2 Professional Development
Professional development involves the generation of new knowledge or mastery and application of existing knowledge aimed to advance the understanding of basic or applied scientific principles, advance the practice of clinical medicine, or advance the effectiveness of the education and training process. Scholarly activity includes other professional development activities besides research. Clinical practice entails the delivery of clinical care in settings including but not limited to Robert C. Byrd Clinic, Greenbrier Valley Medical Center, and area nursing homes. Clinical faculty members are expected to stay current with continuing education, licensure, and certification requirements in their respective specialty area(s) and accomplishments as a clinician are a component of professional development.

Specific areas for consideration include, but are not limited to, the following within the broad areas of scholarly activity and research:

Scholarly Activity
• Publications of scholarly contributions in venues which may or may not be peer reviewed
• Maintenance of license, where applicable
• Meeting current standards in patient care
• Participation in research and other scholarly activity
• Development of special teaching materials
• Participation in extramural professional activities
• Program or department management
• Activities directly involved in enhancing teaching effectiveness and self-knowledge about osteopathic medicine
• Authorship of manuscripts undergoing peer review
• Health care delivery quality improvement
• Board certification
• CME credits
• Maintenance of professional privileges

Research
• Authorship of refereed publication
• External grant awards and/or solicitation
• Presentation at national/international meeting
• Membership on editorial boards
• Letters from internal and external peer reviewers indicating positive reputation in research and scholarly activity
• Serving as an external peer reviewer for grants and publications
• Research recognition awards

Administration
• Program or department management
• Course administration

5.2.2.3 Service
Service to the institution involves a variety of activities that promote and help to accomplish the overall mission of the school.

Specific areas for consideration include, but are not limited to:

Institutional Service
• Significant contribution to committees and completion of administrative responsibilities
• Major responsibilities in relevant professional societies
• Significant participation in student recruitment/retention activities
• Faculty sponsor/advisor for student organizations
• Attendance at institutional function (e.g. graduation, white coat ceremony)
• Participation in school activities and events

Community Service
• Activities directly involved in enhancing knowledge about or public awareness of osteopathic medicine or WVSOM
• Effective participation in programs representing the institution to outside groups
• Effective participation in community service activities and on community boards
• Providing uncompensated health care

Each activity will be placed into only one of the three evaluation areas. When major deficiencies have been identified by the Chairperson, Associate Dean, and Vice President for Academic Affairs and Dean, students, or peers in previous years, the faculty member is expected to design goals to address these deficiencies or provide justification for why changes will not be made. A faculty member’s progress towards achieving his/her goals will be evaluated by taking into account the totality of the actual circumstances that the faculty member experienced. (For example: changes in the promotion and tenure requirements, equipment failures, available resources, personal tragedy, etc.)

5.2.2.4 Sources of Information
Sources of information for in-depth evaluation must include the following:

• A self-assessment discussing the faculty member’s past and present contributions and goals for future development.

• Peer Evaluations: There must be a minimum of two peer evaluations included, one requested by the faculty member and a second requested by the Chair of the Department as describe in Institutional Policy PE-02.

5.2.2.5 Student Feedback
Student feedback on teaching will be collected following the procedure described in Appendix 3, Procedures Regarding Collection of Student Feedback on Courses and Teaching. All reports of student feedback on teaching activities will be included in the faculty member’s file.
5.2.2.6 Evaluation by Immediate Supervisor
Any further documentation that may be required for evaluation will be requested by the Department Chairperson or Associate Dean. In the case of evaluation of the Department Chairperson, any procedure which normally requires input from the Chairperson will substitute input from the appropriate Associate Dean; such instances where input from the Associate Dean must be substituted are indicated in parentheses. This will also pertain to any faculty member if the Department Chairperson is unable to supply the necessary input due to absence or illness.

5.3 Promotion

5.3.1 Overview of Promotion
To be considered for promotion, a faculty member must provide information concerning teaching, professional development, and service to the academic community, as described in Institutional Policy PE-02. Decisions about promotion will consider the candidate’s accomplishments since hiring or last promotion. A faculty member hired less than three years before nomination who wishes to have his/her work at another institution considered as part of the promotion process must provide to his/her academic file documentation regarding that previous work.

A letter of application by the Faculty Member along with a letter of recommendation from his/her Department Chair or two letters of support from peers will constitute an application for Promotion. Letters are to follow guidelines in the “Faculty Evaluation, Promotion, and Tenure Procedures” document (Appendix 8).

5.3.1.1 Promotion to the rank of Assistant Professor
Promotion to the rank of Assistant Professor constitutes recognition of a faculty member’s completion of a terminal Academic Degree or other special qualifications in the field and having met or exceeded his/her quantifiable goals since joining the Faculty at WVSOM.

5.3.1.2 Promotion to the rank of Associate Professor
Promotion to the rank of Associate Professor constitutes recognition of significant professional achievement and the promise of continued development in each of the above areas. In the area of professional development, these achievements must be commensurate with resources provided by the institution.

5.3.1.3 Promotion to the rank of Professor
Promotion to the rank of Professor acknowledges a record over several years of excellence in teaching, outstanding professional achievement, and dedicated service to the academic community. In the area of professional development these achievement must be commensurate with resources provided by the institution.

5.3.2 Criteria for Promotion in Rank
All faculty will be evaluated primarily on his/her activities in teaching, professional development, and service to the institution and will normally fulfill the following conditions:

5.3.2.1 Promotion to Assistant Professor
The candidate must have a terminal academic degree or other special qualifications in the field.
Individual performance will be based on written documentation of meeting or exceeding expected accomplishment of quantified goals negotiated with his/her Department Chair and having concurrence of the Associate Dean and the Vice President for Academic Affairs and Dean. Significant accomplishment is expected prior to promotion.

5.3.2.2 Promotion to Associate Professor

Promotion to the rank of Associate Professor constitutes recognition of significant achievement and the promise of continued development in the three evaluation areas.

In addition to the requirements for Assistant Professor above, the candidate is expected to fulfill the following criteria:

- The expected time to develop significant achievement is five years of growth as an Assistant Professor at WVSOM. Earlier consideration will occur with exceptional progress or accomplishment in one or more of three areas of evaluation.
- Clinical practice faculty must have achieved Board Certification and non-physicians must have achieved comparable national certification, if applicable.
- Growth in teaching excellence must be documented and accomplishments in professional development will take into consideration time in rank, as well as, the type of research or other activities being undertaken. Prior teaching and professional development will also be taken into consideration for time in rank.

5.3.2.3 Promotion to Professor

Promotion to the rank of Professor constitutes internal and external recognition of significant sustained achievement in one or more of the three evaluation areas.

In addition to the requirements for Associate Professor above the candidate is expected to fulfill the following criteria:

- The expected time to develop significant sustained achievement is eight years of growth as an Associate Professor.
- Sustained documented excellence in teaching and continuous presentation of results in professional development is expected.
- Earlier consideration will occur with exceptional accomplishment in teaching, professional development, or service, or any combination of the three areas of evaluation.

5.3.3 Sources of Information

As long as the requirements regarding sources of information, amount of information, and areas of evaluation are satisfied, the actual style of the information presented is determined by the faculty member in consultation with the Department Chairperson (or Associate Dean).

In the case of evaluation of the Department Chairperson for promotion, any procedure which normally requires input from the Chair would substitute input from the appropriate Associate Dean; such instances where input must be substituted are indicated in parentheses. This would also pertain to any faculty member if the Department Chairperson were unable to supply the necessary input due to illness, or other event, rendering that person unavailable.

Sources for an evaluation include the following items: (Submission dates are specified in the “Faculty Evaluation, Promotion, and Tenure Procedures” document.)
5.3.3.1 Self-Assessment
This must discuss the faculty member’s past and present contributions based on activities in the previous academic year(s) [July 1 – June 30] and his/her plans and goals for future development in the areas of evaluation. To be considered for Promotion, the self-study must be submitted to the Department Chairperson and must be made part of the faculty member’s file by the date specified in the “Faculty Evaluation, Promotion and, Tenure Procedure” document.

5.3.3.2 Peer Evaluations from within the Institution
Peer evaluation letters should be based upon observation rather than reputation. The letters should address only those specific areas of evaluation (Section 5.1.2) for which the peer reviewer has direct experience; i.e., the peer reviewer should not make an overall recommendation regarding Promotion unless the peer reviewer has direct knowledge regarding the candidate’s performance in all three evaluation areas.

5.3.3.3 External Peer Evaluations
Candidates may submit contact information for external individuals qualified to evaluate the candidates’ teaching, research, and scholarly activity and/or service, or may provide evidence of external evaluations such as grant or manuscript reviews. The Committee may contact these individuals or identify other individuals either internally or externally to evaluate the quality and/or quantity of these contributions.

5.3.3.4 Student Feedback
Student feedback on teaching will be conducted following the procedure described in Appendix 3, “Procedures Regarding Collection of Student Feedback on Courses and Teaching.” All reports on student feedback on teaching activities are to be included in the faculty member’s file.

5.3.3.5 Evaluation by Immediate Supervisor and Associate Dean
Letters of evaluation will be provided by the Department Chairperson and the appropriate Associate Dean. The Associate Dean for Preclinical Education will write a letter of evaluation for members of the Department of Biomedical Sciences faculty. The Associate Dean for Predoctoral Clinical Education will write a letter of evaluation for members of the Department of Clinical Science. The Associate Dean for Osteopathic Medical Education will write a letter of evaluation for the members of the Department of Osteopathic Principles and Practice. Any further documentation that may be required is to be provided by the Department Chairperson or Associate Dean.

5.3.3.6 Other Information
Self Studies from previous years, annual evaluations by Department Chairpersons, previous letters of evaluation by appropriate Associate Deans, copies of publications and posters, and all other information included in or referred to in the faculty member’s academic file may be reviewed in making a decision.

5.4 Tenure
5.4.1 Introduction
1. Tenure is a status granted by WVSOM to faculty based on professional growth in teaching, research, and service within the philosophy of WVSOM and consistent with our institutional needs.
Tenure status protects academic freedom and professional stability for the experienced faculty member. Tenure represents a significant commitment between the faculty member and the institution, and the prospect of a lifelong professional relationship.

Normally, the awarding of tenure is a seven year process for a beginning academic faculty member at WVSOM. The process begins with a midterm review at the end of year three of employment. Feedback is provided by the Faculty Promotion and Tenure Committee on progress to date. The normal time to first apply for tenure is May 1 of the fourth full year of employment. If successful at this time, tenured status begins with the year six contract. If it is determined that additional accomplishments are required, the applicant applies May 1 of year five and tenure is granted with the year seven contract. If tenure is not granted, year seven is a terminal one year contract.

Years counted toward tenure may be a component of a Faculty Member’s initial offer letter with WVSOM. This, however, does not shorten the total seven year period for obtaining tenure at WVSOM. The clock on tenure may be extended for up to two years for good cause, after application to and permission by the Vice President for Academic affairs and Dean, and the President. Examples of good cause would be serious illness, pregnancy/ childbirth, or changed tenure requirements.

2. There shall be a midterm review of the progress of a faculty member toward tenure in June of the third year of employment. The packet will include all elements required for complete tenure review including an evaluation by the faculty member’s immediate supervisor (except for letters from reviewers outside the institution). If the individual fails to take this opportunity to obtain feedback from the Promotion and Tenure Committee, a note to this regard will be placed in the faculty member’s permanent file by the chair of the Promotion and Tenure Committee. The midterm review may be submitted earlier based on previous experience if stated in the original offer letter, and/or exceptional contributions in teaching, research and service. Faculty whose initial offer letters state that prior years of employment may be counted may submit a review packet at any time to receive feedback on how many years may be credited.

3. In making tenure decisions, careful consideration will be given to the tenure profile of the institution, projected enrollment patterns, staffing needs of the institution, both the current and projected mission of each department, specific academic competence of the faculty member, and the preservation of opportunities for infusion of new faculty talent. The institution, while not maintaining tenure quotas, will always be mindful of the dangers of losing internal flexibility and institutional accountability as a result of an overly-tenured faculty. Tenure may be granted only to people in positions funded by State Appropriate accounts and the Tuition and Required Education Fee Expenditures State account.

4. Tenure will never be granted automatically or in recognition of years of service, but will result from action by the President of the institution following consultation with the appropriate academic units.

5. In certain cases, tenure may be granted at the time of appointment by the President. Otherwise, tenure may be attained only by faculty who hold the rank of Assistant Professor or above at the institution, as specified in section 5.3.2.1.

6. Non-tenured faculty in the tenure track are referred to as pre-tenure in the following descriptions.

7. Regular, full-time non-tenure track faculty may petition to join the tenure track. This petition must include submission of a review packet to the Promotion and Tenure committee to receive feedback on how many years of service may be credited.
5.4.2 **Criteria for Tenure**
Tenure is granted after documentation of sustained professional achievement in the areas of teaching, professional development, service, and perceived potential for significant future contributions in these three areas. Unless tenure was granted at initial appointment, tenure is normally granted after a faculty member has at least five years of productive experience at WVSOM, giving both peers and the administration confidence that high levels of academic contribution will continue and that this position is important to WVSOM’s mission.

5.4.3 **Fundamental Requirements**
The granting of tenure depends on documentation of sustained achievement and perceived potential for future development. All requirements listed in this section must be met before further criteria are considered.

- Possession of a terminal degree or other special qualifications in the field.
- Typically, the first application for tenure is made by submission to the Departmental Chair by May 1 of the fourth full year of employment. If the applicant is successful at this time, tenured status begins with the year six contract. If tenure is not granted, application must be made by May 1 of year five if he/she wishes to remain at WVSOM.
- Faculty having a contract start date between and including July 2 to January 1 have the option of counting or not counting their first year toward the seven year tenure clock. The Department Chairperson will discuss this with the candidate, and the candidate will indicate his/her preference in his/her first self study, or defer the decision to the following year. The decision, however, must be made and indicated in his/her self study by the end of his/her third year. Faculty who start after January 1 cannot have the first academic year count toward the seven year tenure clock.
- Application for early tenure is allowed. Consideration is based on exceptional activity in teaching, research, and service. Years of previous employment performance may be considered toward tenure if agreed to in writing in the initial WVSOM offer letter. Each applicant still has up to the total six year period for obtaining tenure from the start of WVSOM employment.
- The Faculty Member applies for Tenure in writing, and the application is accompanied by either a letter of support from his/her Departmental Chair or letters of support from two tenured members of the voting faculty familiar with the candidate’s entire professional body of work. (See “Faculty Evaluation, Promotion, and Tenure Procedure” document for deadlines and other procedures that must be followed.)

If an individual reaches March of their fifth pre-tenure year at the institution, the Promotion and Tenure Committee must automatically notify the individual, his/her Department Chairperson and the Vice President for Academic Affairs and Dean that the individual concerned must apply to be considered for tenure if he/she wishes to remain at WVSOM. However, failure to act on a pre-tenure faculty member’s tenure status shall not allow de facto tenure. Tenure is awarded only by a positive affirmation from the President.

5.4.4 **Evaluation Areas**
Individual faculty performance for consideration for tenure will be, in part, based on the quantity and quality of the following, appropriate to his/her position as negotiated with his/her Department Chair and in concurrence with the Associate Dean and the Vice President for Academic Affairs and Dean. Each activity will be placed into only one of the three evaluations areas: Teaching Performance, Professional Development, or Service.
Further, a candidate’s perceived ability to meet the current and long range missions of the institution, work with all peers and students consistent with the philosophy of the institution and other institutional needs defined in Section 5.4.1 will be evaluated.

5.4.5 Sources of Information
Sources for an evaluation must include the following items:

5.4.5.1 Self-Assessment
The applicant is expected to provide a performance summary (generally less than five pages) supporting his/her application for tenure. The materials below are also to be included as part of the application.

5.4.5.2 Peer Evaluations from within the Institution
Peer evaluation letters should be based upon observation rather than reputation. The letters should address only those specific areas of evaluation (Section 5.1.2) for which the peer reviewer has direct experience; i.e., the peer reviewer should not make an overall recommendation regarding Tenure unless the peer reviewer has direct knowledge regarding the candidate’s performance in all three evaluation areas.

5.4.5.3 External Peer Evaluations
Candidates may submit contact information for external individuals qualified to evaluate the candidates’ teaching, research, scholarly activity and/or service, or may submit evidence of outside evaluations such as grant and manuscript reviews. The Committee may contact these individuals or identify other individuals either internally or externally to evaluate the quality and/or quantity of these contributions.

5.4.5.4 Student Feedback
Student feedback on teaching will be collected following the procedure established by the Curriculum Committee and accepted by the Faculty and Administration.

5.4.5.5 Evaluation by Immediate Supervisor
Letters of summary evaluation and recommendation for Tenure will be provided by the Department Chairperson and the appropriate Associate Dean. Any further documentation that may be required is to be provided by the Department Chairperson (or Associate Dean). The past annual evaluation files must also be provided by the faculty member’s supervisor.

5.4.5.6 Other Information
Self Studies from previous years, documentation of previous activity prior to employment by WVSOM, annual evaluations by Department Chairpersons, previous letters of evaluation by appropriate Associate Deans, copies of publication and posters, and all other documents in the faculty member’s academic file may be reviewed in making this decision.

In the case of evaluation of the Department Chair, any procedure which normally requires input from the Chair will substitute input from the appropriate Associate Dean or the Vice President for Academic Affairs and Dean; such instances where input must be substituted are indicated in parentheses. This will also pertain to any faculty member if the Department Chairperson is unable to supply the necessary input due to illness or otherwise.
APPENDIX 1. Salary Increases for Tenured and Tenure-track Faculty

1.1. Introduction

The West Virginia School of Osteopathic Medicine formally adopts the Faculty Raise Distribution Plan for Tenured and Tenure-track Faculty.

This Pay Distribution Plan replaces Appendix 1 of the 2009 Faculty handbook.

This Policy references W. Va. Code 18B-8-3, Faculty salary policies; reductions in salary prohibited; salary increase upon promotion in rank, and 18B-8-3a, Institutional salary policies; distribution of faculty salary increases.

1.2. Basis of the Plan

The long term goal of this policy is to bring salaries to peer equity based on a nationally recognized published medical school salary data base while at the same time providing raises based on merit.

1.2.1 WVSOM faculty salary goal is to be at or above the median of comparable nationally recognized published medical school salary database for each rank.

1.2.2 The WVSOM provides money from funds other than those dedicated to raises to ensure at least 10% increases for promotion.

1.2.3 Faculty raise pool will be divided 49% for equity adjustments and 51% for merit raises. Both equity and merit components are added to base salary.

1.2.4 The merit portion of the approved raise will be distributed as described in 1.5 below.

1.2.5 If full equity funding is reached, remaining funds will be applied to merit.

1.2.6 Areas of Evaluation

The merit pay distribution plan for the West Virginia School of Osteopathic Medicine is based on three areas of evaluation; teaching, service, and professional development.

1.2.7 Merit pay evaluation

Merit pay evaluation will be included as part of the yearly evaluation process of each faculty member as described in Section 5 of the Faculty Handbook. A faculty member can choose to not participate in the merit pay evaluation as part of his/her yearly evaluation process by notifying his/her supervisor in writing at the time of the yearly evaluation. If such a choice is made, the faculty member will not be eligible for merit pay distribution during the next fiscal year.

1.3. Procedure

1.3.1 Proportions of academic time

At the beginning of each academic year the percentage of time a faculty member spends in each of the three categories of teaching, service, and professional development is agreed upon in writing. Each faculty member must devote a minimum of 10% of their time in any category, keeping in mind the primary mission of the WVSOM faculty is teaching.

This proportional distribution of workload will remain in effect for the entire year. A change in the distribution can be negotiated by each Faculty and their supervisor between May 1 and July 30 of
each year and then approved by the appropriate Associate Dean and the Vice President and Dean. If the distribution is not changed during this negotiation period it remains in effect until the following negotiation period.

1.3.2 Evaluation Procedure and/or Merit Pay

1.3.2.1 The total pool of funds available for merit raises will be distributed to Departments as the percentage merit raise times the total Faculty Salary in each Department.

1.3.2.2 Evaluation by Area. Each faculty member will be evaluated in the three areas: teaching, academic service, and professional development. Appropriate goals are agreed upon and then assessed at year end. Supplemental contract activities may be assessed by supervisor or be independently assessed by other administrative personnel, or committees, using different methods or criteria. When a description of a responsibility is accepted as a component of one category, it will not be considered in any of the other areas, e.g., the development of special teaching materials will either be considered under teaching or professional development, not both.

1.3.2.3 Point Scale. The evaluator will “grade” each member on the basis of the following scale. The number assigned to an individual faculty member is based on quality and quantity of effort in each of the assigned areas.

   a. 0-1.9: No demonstrable productivity or, quality was poor. Did not develop or address stated goals and objectives.

   b. 2-3.9: Productivity (quality and/or quantity) was reduced, or, below the average of all faculty. Fell short of accomplishing stated goals and objectives.

   c. 4-5.9: Productivity (quality and/or quantity) was average to above average. Met stated goals and objectives.

   d. 6-7.9: Productivity (quality and/or quantity) was excellent to superior. Exceeded stated goals and objectives.

   e. 8-10: Productivity (quality and/or quantity) was exceptional – well beyond expectations. (Note: Scores of 8-10 will rarely be awarded. They are reserved for accomplishments greatly exceeding normal expectations or, receipt of national awards or recognition).

1.4. Appeal Procedure

A faculty member who disagrees with the point values assigned to their performance may appeal such assignment to the Associate Dean or for Department chairs to the Vice President for Academic Affairs and Dean.

1.5. Summary

1.5.1 The calculation for distribution of merit pay funds is presented below.

   1.5.1.1 Each faculty member is evaluated and assigned a value from 0 to 10 in each category, i.e., teaching, academic service and professional development based on quantity and quality of effort toward meeting or exceeding annual goals.

   1.5.1.2 After all faculty in a Department are evaluated, the assigned score in each category is multiplied by the percent time for that category and then summed to give each Faculty a total merit score. The amount of money available in a Department is divided by the total
merit score of all Faculty in that Department to determine the dollar value per total merit score unit. This value is multiplied by the total score assigned to a faculty member to determine the Faculty member’s merit pay.

**Example**

Assume there is $10,000 available for distribution to five faculty members in the merit portion of this pay plan. The three areas of evaluation are weighted the same for all 5 faculty as follows (only as an example).

- Teaching – 50%
- Service – 15%
- Professional Development – 35%

Each faculty member is “scored” by his/her supervisor during the yearly evaluation process.

Continuing this example: Teaching, Service, and Professional Development. Total score is then determined by multiplying each area score times the % weighting and summed:

<table>
<thead>
<tr>
<th></th>
<th>Teaching</th>
<th>Service</th>
<th>Prof. Dev.</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Faculty Member A</td>
<td>9.0</td>
<td>7.5</td>
<td>1.5</td>
<td>(.5<em>9.0+.15</em>7.5+.35*1.5) 6.15</td>
</tr>
<tr>
<td>Faculty Member B</td>
<td>6.0</td>
<td>3.6</td>
<td>3.6</td>
<td>4.80</td>
</tr>
<tr>
<td>Faculty Member C</td>
<td>6.0</td>
<td>3.0</td>
<td>7.5</td>
<td>6.07</td>
</tr>
<tr>
<td>Faculty Member D</td>
<td>3.0</td>
<td>3.0</td>
<td>8.7</td>
<td>5.00</td>
</tr>
<tr>
<td>Faculty Member E</td>
<td>8.7</td>
<td>8.7</td>
<td>8.1</td>
<td>8.49</td>
</tr>
<tr>
<td><strong>Department Total</strong></td>
<td><strong>30.51</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Both quality and quantity are used to determine the "score" for each faculty member. For example, in academic service there may be a great difference in the activity of committees during the year. Faculty member "B" may provide excellent service on a committee which only meets once or twice a year. Faculty member "E" may provide excellent service on a committee which meets every other week. The lower score for faculty member "B" is not a reflection of the quality of service to the academic community; rather, it reflects the overall activity of the committee on which he/she serves. Similar considerations can be given to each of the evaluation categories.
Following the evaluation and assignment of a merit pay score to each faculty member the scores are summed together in each category:

<table>
<thead>
<tr>
<th>Faculty Member</th>
<th>Teaching &quot;Score&quot;</th>
<th>Service &quot;Score&quot;</th>
<th>Professional Development &quot;Score&quot;</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>9.0</td>
<td>7.5</td>
<td>1.5</td>
</tr>
<tr>
<td>B</td>
<td>6.0</td>
<td>3.6</td>
<td>3.6</td>
</tr>
<tr>
<td>C</td>
<td>6.0</td>
<td>3.0</td>
<td>7.5</td>
</tr>
<tr>
<td>D</td>
<td>3.0</td>
<td>3.0</td>
<td>8.7</td>
</tr>
<tr>
<td>E</td>
<td>8.7</td>
<td>8.7</td>
<td>8.1</td>
</tr>
</tbody>
</table>

| Total points assigned | 32.7 | 25.8 | 29.4 |

The total points for each category are divided into the amount available for distribution within the category to determine the "dollar value per point."

- **Teaching Dollar Value**: \( \frac{5000}{32.7} = 152.91 \) (X)
- **Service Dollar Value**: \( \frac{1500}{25.8} = 58.14 \) (Y)
- **Professional Development Dollar Value**: \( \frac{3500}{29.4} = 119.05 \) (Z)

Using this method, it is not necessary to score everyone at the top of the scale as the scores are totaled, and divided into the dollars available. The range of scores in this example could just as well have been between 1 and 2; the dollar value per point would still be determined by dividing the sum into the total amount available. This allows the evaluators to retain points at the top of the scale to reward someone who has truly accomplished more in some category.

The dollar value is then multiplied by the "score" to determine the dollar distribution for each faculty member in each category:

<table>
<thead>
<tr>
<th>Teaching</th>
<th>Service</th>
<th>Professional Development</th>
<th>TOTAL Merit Pay</th>
</tr>
</thead>
<tbody>
<tr>
<td>X = $152.91</td>
<td>Y = $58.14</td>
<td>Z = $119.05</td>
<td>$10,000.00</td>
</tr>
<tr>
<td>“A”</td>
<td>9.0 * X = $1,376.15</td>
<td>7.5 * Y = $436.05</td>
<td>1.5 * Z = $ 178.57</td>
</tr>
<tr>
<td>“B”</td>
<td>6.0 * X = $ 917.46</td>
<td>3.6 * Y = $209.30</td>
<td>3.6 * Z = $ 428.57</td>
</tr>
<tr>
<td>“C”</td>
<td>6.0 * X = $ 917.46</td>
<td>3.0 * Y = $174.42</td>
<td>7.5 * Z = $ 892.86</td>
</tr>
<tr>
<td>“D”</td>
<td>3.0 * X = $ 458.73</td>
<td>3.0 * Y = $174.42</td>
<td>8.7 * Z = $1,035.71</td>
</tr>
<tr>
<td>“E”</td>
<td>8.7 * X = $1,330.32</td>
<td>8.7 * Y = $505.81</td>
<td>8.1 * Z = $ 964.29</td>
</tr>
</tbody>
</table>

| Total | $5000.00 | $1500.00 | $3500.00 | $10,000.00 |

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It can be noted that the total dollar distribution value may be similar for some faculty members; however, the areas of excellence are different. Faculty members "A" and "C" are quite close. Faculty member "A" excelled at teaching and academic service; faculty member "C" did well in teaching and professional development yet the total for each is similar. A similar comparison can be made between faculty members "B" and "D."

The yearly evaluation process in place at WVSOM involves the immediate supervisor, usually the Department Chairman, and the Associate Dean for Preclinical Education for the Biomedical Science Departments, and the Associate Dean for Predoctoral Clinical Education for the Clinical Science Department. The Associate Deans can serve as a check to determine whether each Department is scoring activities in a similar manner, i.e. one department does not have a score higher than five while another has most scores in the 7 to 8 range.
To be revised in accordance with Board of Governor action.

**APPENDIX 2. Policy for Merit Pay Determination for Faculty for the First Fiscal/Academic Year of their Employment**

1.1. Merit pay determinations for faculty are made in the fall of each year based on work performed by the faculty member during the previous fiscal/academic year.

1.2. Newly hired faculty are automatically excluded from this process having not been employed at WVSOM during the previous fiscal/academic year.

1.3. New faculty are to be evaluated in December of their first year of employment. New faculty will be evaluated in their first year of employment as follows:

   1.3.1. New faculty members will work with their department chairman to establish goals and objectives (in each of the three evaluation areas as specified in the Faculty Handbook) as soon as feasible after becoming employed at WVSOM.

   1.3.2. The first year evaluation of new faculty members will occur in December (using the time line described in the Faculty Handbook). This evaluation will be a consideration of the faculty member’s goals and objectives and of progress toward these goals to date. (It is understood that this progress will be highly variable due to actual date of employment and to significant variations in assignments for faculty in different disciplines/departments.)

   1.3.3. Documentation for this evaluation will consist of current CV, the faculty member’s goals and objectives document progress made on these goals to date and a letter supporting these goals and objectives from the department chairperson.

   1.3.4. For their first year of employment, faculty members will not be assigned merit pay numbers. They will instead each receive a raise equal to the average merit pay raise given (for the year in which they are first year faculty members) to the faculty in the department of which they are members, prorated from the time at which they begin their initial contract. (Funds to departments will be adjusted accordingly.)

   1.3.5. Subsequent evaluation will be as for other faculty.
APPENDIX 3. Procedures Regarding Collection of Student Feedback on Courses and Teaching


1.1. The faculty of the West Virginia School of Osteopathic Medicine believes that student feedback is one of several important sources of information about courses and teaching. Student feedback must be combined with faculty peer review, administrative review, and other interpretive information for effective evaluation of the educational program.

1.2. The Associate Dean for Assessment and Educational Development (ADAED) is responsible for providing leadership for the student feedback process. Student feedback is collected throughout the WVSOM curriculum. Procedures for collecting and reporting student feedback are listed below. In addition, the students’ responsibilities and procedures are included in the Student Handbook. Any changes in procedures for collecting and/or distributing student feedback in years 1 and 2 will be initiated by the Associate Dean for Assessment and Educational Development. Any changes in procedures for collecting and/or distributing student feedback in years 3 and 4 will be initiated by the Associate Dean for Predoctoral Clinical Education. All changes will be reviewed by the Curriculum Committee and approved by the Faculty Assembly and by the Vice President for Academic Affairs and Dean, and updated in the Faculty and Student Handbooks.

1.3. The procedures for collecting and reporting student feedback are divided into two sections:

1.3.1. Preclinical Education Procedures

1.3.2. Predoctoral Clinical Education Procedures

1.4. Preclinical Education Procedures

1.4.1. Scheduling and Distribution of Student Feedback Forms

1.4.1.1. Courses and Modules

Student feedback is collected by the Office of Assessment and Educational Development (OAED) on each preclinical module or course each year, at the end of the course or module. Feedback may also be collected during the course, either by the course faculty or by OAED.

1.4.1.2. Faculty

1.4.1.2.1. Student feedback will be collected for on-campus, preclinical faculty members each time they teach in a course or module.

1.4.1.2.2. Additional feedback may be collected electronically or on paper at the end of any lecture or instructional component by the faculty member, course director, or module coordinator. Assistance may be requested from the OAED.
1.4.1.3. Number of Students Surveyed

1.4.1.3.1. To reduce the number of feedback forms each student must return, each class may be systematically divided into sections of approximately 70 students. Each section is required to return feedback for an appropriate number of the modules, courses and faculty. For example, a class of approximately 200 students may be divided into three sections, with each section returning forms on approximately one-third of the modules, courses, and faculty.

1.4.1.3.2. To assure each section is comparable:

1.4.1.3.2.1. The first year class will be systematically divided based on gender and within each gender, on Biological Sciences MCAT.

1.4.1.3.2.2. The second year class will be systematically divided based on gender and within each gender, on their first year GPA.

1.4.1.3.3. Special circumstances:

1.4.1.3.3.1. For new curriculum components, the Vice President for Academic Affairs and Dean, Associate Dean, Course Director or module coordinator may request that student feedback be collected from all students.

1.4.1.3.3.3. Students wishing to provide feedback on a module, course or faculty member which they otherwise would not be required to evaluate will use the same evaluation form and process (as described under the Administration of Forms section). Data will be reported with the required feedback.

1.4.2. Forms to be Used

1.4.2.1. Evaluation Construction

The content on the standard evaluation forms will be used for all first year and second year modules, courses and lab courses. Exceptions can be granted by the Vice President for Academic Affairs and Dean or the Curriculum Committee, or if in the judgment of both the Course Director or Module Coordinator and the Associate Dean for Assessment and Educational Development, some items are clearly not appropriate. The specific lay-out of the forms may be altered by OAED. Questions may be added to these forms by agreement of the Course Director or Module Coordinator and OAED, without Curriculum Committee approval. The Course Director or Module Coordinator may also collect and compile other information, at his or her discretion, without any further Curriculum Committee approval. The standard evaluation form will be reviewed periodically by the Curriculum Committee for updates and revisions.

1.4.2.2. Faculty Feedback

All faculty who teach in a module, course or lab course will be assessed as part of the module, course or lab course. Any faculty member may request additional information for the improvement of his or her teaching. If the faculty member wishes
OAED to collect and collate results from these additional questions, these additions must be approved by OAED.

1.4.2.3. Optional Feedback Form

Although not all students are required to complete an evaluation on a course, module, or faculty member(s), all students have the option to provide feedback using the same form as those who are required to complete the evaluation. Additional instructions will be provided by the OAED during an orientation for first year students regarding the feedback process.

1.4.3. Administration of Forms

1.4.3.1. OAED will send evaluation forms to the appropriate student group via e-mail to open at the end of the module, course or lab’s final exam.

1.4.3.2. Students are required to complete their assigned evaluation form ten days after receiving it.

1.4.3.3. Students will receive a reminder three days before the due date.

1.4.3.4. If a student does not complete the evaluation form, a letter will be sent to the Academic Dean by the Associate Dean for Assessment and Educational Development and appropriate unprofessionalism behavior reports will be produced.

1.4.3.5. In addition, the OAED will have an orientation for first year students regarding the student feedback process, in which the process and its importance and consequences of not completing assigned evaluation forms will be explained. Also, all faculty members are encouraged to inform students of how student feedback has been used in course revision and of its importance in faculty development and staffing decisions.

NOTE: all student requirements will be listed in the Student handbook.

1.4.4. Analysis of Data by OAED

1.4.4.1. Data will be summarized and tabulated for each student evaluation form and sent to the members of the Dean’s Review for each course, module or lab course.

1.4.4.2. Other statistical information will be provided as feasible.

1.4.4.3. Confidentiality of student identity will be maintained unless unprofessional comments are made. Then the Associate Dean for Preclinical Education will be notified for follow-up with the student.

1.4.5. Distribution of Summary Reports

Summary reports are distributed at the Dean’s Reviews. This includes only the portion about the module, course or lab course; not the individual faculty data

1.4.5.1. Courses, Modules and Lab Courses

Summary reports, which include feedback on laboratories, special topics, etc., are not considered confidential, and will be made available to all faculty by posting to a WVSOM web page that is available to the faculty, Vice President for Academic Affairs and Dean, and associate deans.
1.4.5.2. Faculty Members

Summary reports collected about a faculty member's teaching are confidential and are distributed by OAED only to the faculty member, his/her department chair, the appropriate associate dean, and the Vice President for Academic Affairs and Dean as soon as possible after each evaluation is closed. Visiting professors will need to ask the module coordinator for their feedback.

1.5. Predoctoral Clinical Education Procedures

1.5.1. The standard "Site Evaluation and Abbreviated Log" form is distributed by the Department of Predoctoral Clinical Education with the Clinical Education Training Manual.

1.5.2. Each student is required to submit a completed form to the Department of Predoctoral Clinical Education within two weeks of completion of the clinical rotation. Failure to complete and return the form will result in an incomplete grade for the rotation.

1.5.3. To preserve anonymity, the summary report will be developed for the rotation preceptor only when a sufficient number of students participate in a particular rotation. This is usually after at least four students have completed a feedback form for a given rotation. These reports will be confidential; distributed to the preceptor, Associate Dean of Predoctoral Clinical Education, Assistant Dean of Predoctoral Clinical Education, and Vice President for Academic Affairs and Dean. In addition, the OAED may request summative data on preceptors and rotations to integrate with other academic data for curriculum review.

1.5.4. Additional student feedback is collected electronically or on paper for other clinical activities. These are developed by the individual overseeing the clinical activity.

1.5.5. Assistance may be requested from the OAED. The OAED may also request summative data on preceptors and rotations to integrate with other academic data for curriculum review.
APPENDIX 4. OPP Integration
OP&P Integration is the process by which the basic tenets of the osteopathic profession are consciously applied to the teaching of the practice of medicine and related fields.

The four tenets are as follows:

The body is a unit.

Structure and function are interdependent.

The body has self healing and self regulatory capabilities.

Rational osteopathic care relies on the integration of these tenets in patient care.
APPENDIX 5. Primary Care Orientation for Faculty

1.1. Rationale:

1.1.1. The Faculty adopted a vision for curriculum change that by academic year 2006-2007 all courses and systems will begin implementation of the following:

1.1.1.1. Integration of osteopathic primary care knowledge and core competencies into all courses where appropriate by creating a curriculum that ensures the basic and clinical science content essential for osteopathic primary care is included; and,

1.1.1.2. Eliminating basic and clinical science content not necessary for osteopathic primary care education and core competencies.

In curriculum development, it would be helpful for all members of the WVSOM faculty to have a solid understanding of osteopathic primary care, to better evaluate which of their potential course material is essential, and which is not necessary, for future primary care physicians serving rural West Virginia.

Faculty who are not in primary care specialties, and particularly Biomedical Science faculty, are encouraged to participate in this “Primary Care Orientation.”

1.1.2. Additional goals of this experience are to:

1.1.2.1. Promote collaboration and the exchange of ideas on science, research and education between the Biomedical Science and clinical faculty;

1.1.2.2. Improve integration of basic sciences with osteopathic principles and practices; and,

1.1.2.3. Help Biomedical Science faculty develop their understanding of clinical education and clinical practice, and therefore be better mentors, student advisors, curriculum developers, teachers, and evaluators.

1.2. Procedure:

Faculty participating in this experience may choose either of the following strategies:

1.2.1. Student Shadowing – attaching themselves to a 3rd or 4th-year student on clinical rotation. The experience would be arranged by:

1.2.1.1. Working with Clinical Education to identify an appropriate student, and rotation;

1.2.1.2. Obtaining travel permission from the faculty member’s Department Chair;

1.2.1.3. Contacting the students Director of Medical Education and/or Preceptor for authorization; and

1.2.1.4. Contacting the student directly to arrange logistics (as, the specific location, date, and time that the student’s work-day begins).
1.2.2. Physician Shadowing – attaching themselves to a physician, preferably an osteopathic primary care physician practicing in a rural, underserved area. The experience would be arranged by:

1.2.2.1. Working with administrators in Clinical Education or Graduate Medical Education to identify a physician;

1.2.2.2. Obtaining travel permission from the faculty member’s Department Chair;

1.2.2.3. Contacting the physician directly for authorization and to arrange logistics (as, the specific location, date, and time that the physician’s work-day begins).

1.2.3. These experiences can be for as little as one day, or as long as can be negotiated and approved by all parties. In all cases, the following procedures are to be followed:

1.2.3.1. The Faculty member must document completion of HIPAA patient privacy training (which can be provided on an individual basis from the Office of Clinical Education).

1.2.3.2. It is recommended that the physician’s office staff request permission for the observation, perhaps by asking patients, “We have a faculty member from the West Virginia School of Osteopathic Medicine observing here today to learn more about clinical medicine – is it OK with you if that faculty member is involved with your case?”

1.2.3.3. This authorization may be either oral, or written (a draft written authorization is below).

1.2.3.4. If such permission is not requested by staff, non-physicians must clearly identify themselves to patients by asking, “I’m John Doe, an anatomist at the West Virginia College of Osteopathic Medicine, here to get a better understanding of clinical practice so I can help with our curriculum development. May I observe here today?”

1.2.3.5. The Faculty member must have approval (oral agreement in a phone call is fine) from an administrator in Clinical Education; standard written travel authorization for Department Chair, on form which can be provided by the Department Secretary; and should ask Department Secretary to explain what receipts and other documentation will be needed to obtain reimbursement of any expenses.

1.2.3.6. The non-physician must not provide medical care.

1.2.3.7. Scientists are welcome to provide input in educational settings such as Teaching Rounds and Case Conferences.
Sample written authorization from Robert C. Byrd Clinic
OPTIONAL, to be used if the Preceptor requests one:

Patient Authorization

I give authorization for ____________________________________________________________________________________
to be present in the examination room with the physician on the date written below to observe the
physician/patient relationship for the purpose of advancing educational goals.

Patient Name (Printed): ____________________________________________
Patient Signature: _______________________________________________
Date: __________________________________________________________
APPENDIX 6. WVSOM Research Committee Guidelines for Intramural Support of Research

Research: A systematic study involving investigation, development, testing and evaluation, designed to contribute novel findings to generalizable knowledge by drawing conclusions that have some widespread applicability, and using commonly accepted scientific method(s).

The WVSOM Research Committee facilitates and advises research activities at the Institution. Towards these efforts, the Committee makes recommendations concerning allocation of equipment, space, and intramural funding. Requests for intramural funding to support research should follow guidelines A – D outlined below. All proposals must use a minimum font size of 11, and have margins of at least one inch on all sides.

Requests dealing with space, equipment, or other items/issues relating to research will be accepted as they arise. These requests (not to exceed one page) should include rationale, need, and justification. Proposals for equipment should also include number of users and at least two quotes reflecting cost. (Note: items over $25,000 will need to go out for bid.)

A. Summary of proposed research (not to exceed 1 page). Provide a brief summary of the study planned. If the project received WVSOM IM funds in the past, explain what progress was made on the project related to the timeline in the previously funded request. If student travel was funded, indicate role of student(s) in project. Attach any relevant poster(s)/presentation(s)/publication(s)/grant(s) related to the work.

B. Description of the proposed research (not to exceed 4 pages). Include: the 1) merit of the study (few sentences/paragraph) - the research merit section must describe the field-specific generalizable knowledge base or paradigm that the study will address and why it is being addressed; 2) needs statement: what gap in knowledge does your research address; 3) brief review of related professional research; 4) broader impacts: what will become possible after the research is complete that is not possible now and how the results can be applied or generalized to a larger population; 5) long term objective of research; 6) preliminary data or ability of faculty member to complete objectives; 6) aims/objectives of proposed work and how it/they relate(s) to long-term objective; 7) methods: how the objectives will be assessed, 8) expected outcomes. IM funds are ‘seed monies’ to develop projects. Identify external source(s) of funding that may be targeted in the future to support your research and how project fits its mission/goal to qualify for their support.

Additional information that is required but will not count toward the 4 page limit: 9) time-line describing when the proposed work will be carried out and by whom (i.e. ALIA; student; faculty), and % effort/time required. If work is to be conducted by individuals who are not ALIA’s/techs or students, letter(s) of collaboration/support should be included.

C. Itemized budget and justification (not to exceed 1 page). Include: major supply items and equipment; requests for student travel with registration fees, approximate travel costs listed. Please note: if budget includes computer-related requests a letter of agreement from IT is needed to indicate their ability to support item(s).

D. The time during which the proposed research is conducted should be decided on between the PI and his/her supervisor.

Departmental Chair/Supervisor _________________________________ Date ______________
APPENDIX 7. WVSOM Academic Development Committee Guidelines for Intramural Support of Academic Innovations

Project: Designed explicitly to promote the acquisition, enhancement, or assessment of knowledge, skills, behaviors, or attitudes in specific, defined populations of learners relevant to WVSOM’s mission. Possible populations of learners relevant to this Institution’s mission might include medical students, residents, physicians in CME courses, patient groups, K-12 students, etc.

The WVSOM Academic Development Committee will participate in evaluating proposals for project development and make recommendations regarding whether these projects should receive Institutional support. Requests for intramural funding should follow guidelines A – E outlined below. All proposals must use a minimum font size of 11 and have margins of at least one inch on all sides. Deadline for proposal is 5:00 pm on August 15, December 15, and April 15. If the 15th falls on a weekend, the deadline will be on the following Monday.

A. Summary of proposed project (not to exceed 1 page). Provide a brief summary of the planned project. If the project received WVSOM IM funds in the past, explain what progress was made on the project related to the timeline in the previously funded request. If student travel was funded, indicate role of student(s) in project. Attach any relevant poster(s) / presentation(s) / publication(s) / related to the work.

B. Description of the proposed project (not to exceed 4 pages). Include discussion of the following: 1) brief review of relevant professional literature including any relevant pilot work done by faculty member; 2) outcomes, objectives of project and why it is needed; 3) expected goals 4) impact of work (i.e. will work be disseminated to peers, will project lead to a presentation at a national meeting, will work be peer reviewed for distribution); 5) methods to be used and 6) ability of faculty member to complete project; 7) how project supports mission and/or goals of Institution; 8) any copyright or other legal issues that may prevent project from being completed; 9) evaluation methods to determine project success/effectiveness. Additional information that is required but will not count toward the 4 page limit: 10) time-line with start and end dates, major deadlines for project component completion, description of who will carry out the proposed work (i.e. ALIA; student; faculty), and % of effort/time required. If work is to be conducted by individuals who are not ALIA’s or students, letter(s) of collaboration/support should be included.

C. Itemized budget and justification (not to exceed 1 page). Include: major supply items and equipment; requests for student travel with registration fees, approximate travel costs listed. Proposals requesting equipment should also include number of users and at least two quotes reflecting cost. (Note: items over $25,000 will need to go out for bid.) Please note: if budget includes computer-related requests a letter of agreement from IT is needed to indicate their ability to support item(s).

D. The time during which the proposed project is developed should be decided on between the PI and his/her supervisor.

E. A report summarizing achievement of outcomes and objectives of the project must be submitted within a year of the funds being distributed.

Name(s) of PI: ___________________________________________ Date ____________________
Advisor (If applicable): ______________________________________ Date ____________________
Departmental Chair/Supervisor ______________________________ Date ________________
APPENDIX 8. Faculty Evaluation, Promotion, and Tenure Procedures

1. Introduction
The following guidelines must be followed by those individuals involved in the In-Depth Review process. Tenured faculty will undergo this procedure in the year prior to the end of each faculty appointment contract but not more frequently than every four years with a brief review of progress in the off years. Pre-Tenure Faculty will undergo In-Depth Review annually. These evaluation documents and other materials in the faculty member’s academic files will be the primary source of information for tenure and/or promotion consideration.

The dates listed are the deadlines for completion of that particular step in the process.

In the schedule listed below there are two sets of dates, corresponding to two sets of circumstances: first-year faculty and second-year or more faculty. These are expressed in the form [FIRST YEAR FACULTY/SECOND YEAR OR MORE FACULTY].

Deadlines referred to in this section, with the exception of the retention/non-retention date, are provided as good faith guidelines. There may be circumstances when these deadlines may not be met. Failure to adhere to dates does not constitute a violation of due process or this policy.

2. Statement of Goals
Fundamental to the evaluation is a statement of professional goals for the individual faculty member. Such a statement should include both annual and long-term academic objectives.

A first-year appointee should have a counseling session with the Department Chairperson during the first month of employment to establish academic assignments and goals. The outcome of this session is recorded as an autonomous document, signed by the Department Chairperson and the faculty member, and placed in the faculty member’s file. Academic assignments and goals outlined in this document should be appropriate for the position and should accurately describe the significant level of contribution expected.

At the end of the first year of employment, the goals statement becomes an integral part of the self-study document.

Each year, the statement of academic assignment and goals must again be signed by both the faculty member and the Department Chairperson. Significant changes during the academic year are to be documented by a mutually signed addendum.

The Department Chair must receive concurrence from the Associate Dean and the Vice President for Academic Affairs and Dean of the goals set for the faculty member.

If there are exceptional circumstances in which the Department Chairperson and the faculty member cannot agree on assignments and goals, the final determination of appropriate goals will be made by the faculty member’s Associate Dean, and these goals will be the basis for the evaluation. The Chairperson and the faculty member will sign these goals to indicate that they have received copies of them.

3. Faculty Evaluation Procedures

3.1. First Year Faculty Progress Review
At a date halfway between the start of employment and the end of the first academic year the following process is to occur: (This can be used flexibly depending on hiring date.)
3.1.1. Self-Study Document
The faculty member writes a brief summary of activity for each goal set in teaching, professional development, and service with plans for the remaining time in year one. This progress report is submitted to the Department Chair by an agreed upon date.

3.1.2. Additional Information
The Department Chair (or Associate Dean) may solicit additional information from the faculty member and any other sources deemed necessary. This is to be completed within two weeks of receipt of the faculty self-study.

3.1.3. Chair Counseling Meeting
The Department Chair (or Associate Dean) is to then write a critique of the faculty member’s progress and propose actions to fulfill first year goals, if needed. This critique is to be provided to the faculty member at a counseling session within three weeks of the initial self-study document request. Final results of this session are to be written and signed by both parties.

3.2. Pre-Tenure Faculty Annual Review – Tenured Faculty In-Depth Review

3.2.1. Self-Study Document
By June 1, the faculty member will have completed assembling the self-study document based on activities in the previous academic year (July 1 – June 30) and submitted it to the Department Chairperson (or Associate Dean). This date is also the deadline for presentation of peer evaluations. Failure to submit Annual Self-Study by this date will result in the Faculty Member not being included in the Merit Pay process.

Faculty being considered for promotion and/or tenure are to follow earlier dates listed below under procedures for Promotion or Tenure.

3.2.2. Additional Information
During the period from June 15 to June 30, the Department Chairperson (or Associate Dean) may solicit additional information, both from the faculty member and from any other sources deemed necessary. This may include internal or external review of the quality and impact of work, including but not limited to requests for letters documenting the quality of research and service activities.

This section does not apply for Brief Review years of Tenured Faculty.

3.2.3. Review of Materials by Faculty Member
If new material is received, the faculty member will be duly notified and have two working days to review the new material.

After this review, the faculty member will meet with the Department Chairperson (or Associate Dean) to define goals for the upcoming year as well as merit pay. Anyone not submitting a self-study within the timelines indicated above will not be considered for merit pay. At the same time, the Department Chairperson (or Associate Dean) will issue a list of all evaluation documents. This list is to be signed by the faculty member and placed in the faculty member’s file.

This section does not apply for Brief Review years of Tenured Faculty.

3.2.4. Retention/Non-Retention Recommendation by Department Chairperson
During the period from July 1 to July 21, the Department Chairperson (or Associate Dean) will prepare:
- A synopsis of all information accumulated;
- The Chairperson’s (or Associate Dean’s) evaluation;
- The goals for the faculty member; and
• For Pre-Tenure Faculty, the following statement is required: “I recommend that [faculty name] be [retained/not retained] for the contract year July 1 [year] to June 30 [year].”

The complete file of the faculty member, including the documentation listed above will be sent to the appropriate Associate Dean. The faculty member will also be given a copy of the documents.

3.2.5. Evaluation and Retention/Non-Retention Recommendation by the Associate Dean
During the period from July 21 to August 7, the appropriate Associate Dean will have reviewed and evaluated the faculty member’s file and written an evaluation and for pre-tenure faculty, including a recommendation for retention/non-retention which will be submitted along with the faculty member’s file to the Vice President for Academic Affairs and Dean. The faculty member will be given a copy of the Associate Dean’s evaluation.

3.2.6. Counseling Session with the Vice President for Academic Affairs and Dean
During the period from August 8 to October 15, the Vice President for Academic Affairs and Dean will consider the recommendation of the Department Chairperson and Associate Dean.

After reviewing the recommendation and supporting documents, the Vice President for Academic Affairs and Dean will schedule a counseling session with the faculty member; the appropriate Associate Dean and Department Chair may be invited to this session. This meeting will serve as a forum to discuss the faculty member’s past performance and agreed upon short and long-term goals.

Upon completion of the session, the Vice President for Academic Affairs and Dean will retain all original documents and copies sent to the Department Chairperson.

3.2.7. Retention/Non-Retention Recommendation by the Vice President for Academic Affairs and Dean
By October 15, the Vice President for Academic Affairs and Dean will form a recommendation for retention or non-retention of the faculty member after the current academic year and will transmit it, by letter, to the President. At the same time, the Vice President for Academic Affairs and Dean will inform the Department Chairperson and the appropriate Associate Dean of the decision.

3.2.8. Review of Recommendation by the President
By October 30, the President will affirm or reject the recommendation of the Vice President for Academic Affairs and Dean.

3.2.9. Notification of Retention/Non-Retention
By November 10, the faculty member will be informed of the decision for retention or non-retention by the President; if the decision is non-retention, notification will be provided by certified mail (return receipt requested). In addition, the notification will also be provided to the Vice President for Academic Affairs and Dean, the appropriate Associate Dean, and the Department Chairperson.

3.2.10. Non-Retention Appeal Procedure
Upon receiving formal notification of non-retention, the faculty member may initiate an appeal of the decision in writing within 15 working days of the notice of non-retention.
4. Promotion Procedure

4.1. Application for Promotion

4.1.1. It is the candidate’s responsibility and right to apply for promotion. For the applicant to be considered for support from his/her Chair, the candidate must provide his/her self-study and supporting documents to the Department Chairperson and placed in the candidate’s academic file no later than May 1. Candidates failing to submit these materials by May 1 may not be considered for promotion, as sufficient time would not be available for Department Chair review before the deadline for submission to the Promotion and Tenure Committee.

4.1.2. The candidate may request from the Department Chairperson written (or e-mail) notification by May 10 or three weeks after the materials are submitted, whichever is earlier, regarding whether the Chairperson is willing to support the application. If the candidate does not receive this letter of support, the candidate should presume that the Chairperson will not be providing such a letter. Therefore, in order for the application to be considered, the candidate needs to identify two peers willing to carefully review the candidate’s entire file and write peer recommendation letters if the documentation so warrants.

4.1.3. The letters of application and Chair support may be submitted by the Department Chairperson or letters may be submitted by the nominee and two supporting members of the voting faculty with rank at or above the rank to which promotion is being requested. These letters of application and support must be delivered to the Chairperson of the Promotion and Tenure Committee on or before June 1.

4.1.4. The letters of support from the Chairperson or peers must confirm that the person making the nomination has reviewed the candidate’s entire file for the period under consideration. The letters must contain the following statements verifying the writer’s review of the criteria specified in Institutional Policy PE-02.

- Statement required of Chairperson or peers for nomination for promotion to Assistant Professor: “After careful review of his/her complete academic file, it is my professional judgment that [faculty name] should be promoted to the rank of Assistant Professor in recognition of his/her completion of a terminal Academic Degree and having met or exceeded his/her quantifiable goals since joining the Faculty at the WVSOM.”

- Statement required of Chairperson or peers for nomination for promotion to Associate Professor: “After careful review of his/her complete academic file, it is my professional judgment that [faculty name] should be promoted to the rank of Associate Professor, in recognition of [his/her] significant achievement and promise of continued development in each of the three evaluation areas of teaching effectiveness, research and scholarly activity, and service to the academic community.”

- Statement required of Chairperson or peers for nomination for promotion to Professor: “After careful review of his/her complete academic file, it is my professional judgment that [faculty name] should be promoted to the rank of Professor, in recognition of a record over several years of excellence in teaching, outstanding research and scholarly activity, and dedicated service to the academic community.”

4.1.5. Whether the recommendation is by the Department Chairperson, or by the nominee and peers, the Department Chairperson must provide (or be requested by the nominee to provide) a synopsis of the past annual evaluations.
4.2. **Submission of File with Supporting Data**

The information listed in Section 3.2.1.-3.2.6. for each in-depth review year as well as annual review of progress, along with the Department Chair synopsis, will be submitted to the Promotion and Tenure Committee by June 1. Documentation of professional activity and evaluations prior to employment by WVSOM may also be included.

4.3. **Solicitation of Additional Information**

Between June 1 and August 15, the Committee may solicit additional information if it feels such information is necessary. The Committee or its representative(s) may meet individually with the candidate, the Department Chairperson, appropriate Associate Deans, and/or any others the committee deem to have information relevant to the requested promotion.

4.4. **Review of Materials by Faculty Member**

If new material is added to the file, the candidate will be duly notified and have five working days to review the file and provide written comment.

4.5. **Recommendation by Promotion and Tenure Committee**

Beginning August 15, the Committee will review all accumulated information. If the faculty member has added information to the file during the candidate’s review period, the Committee will verify the accuracy of the information provided and in that process may share any new material with those involved in providing information to which the candidate responded. After careful deliberation, the Committee will transmit its recommendation and all supporting documentation not already in the candidate’s file to the Vice President for Academic Affairs and Dean on or before September 30.

4.6. **Recommendation by Vice President for Academic Affairs and Dean**

By October 30, the Vice President for Academic Affairs and Dean will forward his/her recommendation, and provide access to all supporting materials and recommendations, to the President. At this time, the Vice President for Academic Affairs and Dean will inform the Promotion and Tenure Committee in writing that a recommendation has been forwarded to the President.

4.7. **Decision by President**

By November 14, the President must forward the decision, in writing, to the Vice President for Academic Affairs and Dean, the Promotion and Tenure Committee, the candidate, the Department Chairperson, and the appropriate Associate Dean.

4.8. **Disposition of Recommendations**

All letters prepared by the Promotion and Tenure Committee, the appropriate Associate Dean, the Vice President for Academic Affairs and Dean, and the President, which contain their recommendations or decisions, will be placed in the candidate’s academic files.

5. **Tenure Procedure**

5.1. **Midterm Review**

5.1.1. There will be a midterm review at the end of year three of employment. The midterm review may be submitted earlier based on previous experience if stated in the original offer letter, and/or exceptional contributions in teaching, research and service. Faculty whose initial offer letters state that prior years of employment may be counted may submit a review packet at any time to receive feedback on how many years may be credited. The candidate must provide all materials to the Department Chairperson before June 1.
5.1.2. The Department Chairperson must submit all materials, including his/her evaluation of the candidate, to the Chairperson of the Promotion and Tenure Committee by July 15.

5.1.3. The Promotion and Tenure Committee shall provide written feedback to the candidate by September 1.

5.2. Application for Tenure

5.2.1. Application for tenure is the responsibility of the individual faculty member. To apply for tenure in any year before the beginning of the sixth pre-tenure year, the candidate must provide all materials to the Department Chairperson before May 1. Candidates failing to submit these materials by May 1 may not be recommended by the Department Chairperson.

5.2.2. The candidate may request from the Department Chairperson written (or e-mail) notification by May 10, or three weeks after the materials are submitted, whichever is earlier, regarding whether the Chairperson is willing to make the recommendation for tenure. If the candidate does not receive this confirmation, the candidate should presume that the Chairperson will not be providing a nomination; therefore, in order to be considered, the candidate needs to identify two peers willing to review the candidate’s entire file and write peer nomination letters if the documentation so warrants.

5.2.3. The letters of application and support may be submitted by the Department Chairperson or letters may be submitted by the nominee and two supporting members of the voting faculty who have tenure. These letters must be delivered to the Chairperson of the Promotion and Tenure Committee on or before June 1.

5.2.4. The letters from the Chairperson or peers must confirm that those making the nomination have reviewed the candidate’s entire file for the period under consideration. The letters must contain the following statement, verifying knowledge of the criteria specified in Institutional Policy PE-02.

   “After careful review of his/her complete academic file, it is my professional judgment that [faculty name] should receive Tenure, in recognition of [his or her] meritorious contribution and the promise of future development and contribution in each of three evaluation areas of teaching effectiveness, professional development, and service to the academic community.”

5.2.5. If tenure must be considered because a faculty member is about to begin his or her sixth pre-tenure year at the institution, and the Chairperson cannot support tenure, the letter should contain the following language:

   “After careful review of his/her complete academic file, it is my professional judgment that [faculty name] should not receive Tenure, having failed to achieve sufficiently meritorious contribution and/or lacking sufficient promise of future development and contribution in the evaluation areas of (specify areas – teaching effectiveness, professional development, and/or service to the academic community.)”

5.2.6. The file of a candidate evaluated by the Chairperson as not yet ready for tenure may be presented to the Promotion and Tenure Committee for review and comment, without the expectation of possible tenure that year, to allow the candidate to appropriately address any deficiencies.

5.3. Submission of Tenure Materials

The information listed in 3.2.1.-3.2.6. for each year of employment will be submitted to the Promotion and Tenure Committee by June 1. Documentation of professional activity and evaluations prior to employment by WVSOM may also be included. Additional discussion of why
the applicant meets the requirements of tenure as discussed in Section 5.4.1. of the Faculty Handbook is requested.

5.4. Solicit Additional Information
Between June 1 and August 15, the Promotion and Tenure Committee may solicit additional information if it feels such information is necessary. The Committee or its representatives may meet with the candidate, the Department Chairperson, appropriate Associate Deans, and/or any others the committee deem to have information relevant to the requested promotion.

5.5. Review of Materials by Candidate
If new material is received, the faculty member will be duly notified and have five working days to review the new material and provide written comment.

5.6. Review of Materials and Recommendation by the Promotion and Tenure Committee
Beginning August 16, the Committee will review all accumulated information. If the faculty member has added information to the file during the candidate’s review period, the Committee will verify the accuracy of the information provided, and may share any new material with those involved in providing information to which the candidate responded. After careful deliberation, the Committee will transmit a recommendation to the Vice President for Academic Affairs and Dean on or before September 30.

5.7. Recommendation by Vice President for Academic Affairs and Dean
By October 30, the Vice President for Academic Affairs and Dean will forward his/her recommendation, and provide access to all supporting materials and recommendations, to the President.

At this time, the Dean will inform the Promotion and Tenure Committee in writing that a recommendation has been forwarded to the President, but the nature of the recommendation will be confidential until after the President’s decision.

5.8. Decision by President
By November 15, the President must forward the decision, in writing, to the candidate, the Vice President for Academic Affairs, and Vice President for Academic Affairs and Dean, the Promotion and Tenure Committee, the Department Chairperson, and the appropriate Associate Dean. If the decision in 5.7. is for non-tenure – the faculty member’s year seven is to be a Terminal Contract.

5.9. Disposition of Recommendations
All letters prepared by the Promotion and Tenure Committee, the Vice President for Academic Affairs and Dean, and the President which contain their recommendations or decisions will be placed in the candidate’s academic file. After this process is completed, Department Chairpersons may request a meeting with the Promotion and Tenure Committee to review any deficiencies or concerns regarding members of his/her department.

5.10. Tenure Appeal Process
Candidates who feel that they have not received due process at any point in the tenure procedure may initiate a grievance in accordance with the West Virginia Public Employees Grievance Procedure (Chapter 6C, Article 2 of the West Virginia Code).
Procedure Title: WVSOM Faculty Handbook

Effective Date: December 18, 2018  Time: 4:30 pm

APPROVED BY:

Approving Administrator – Vice President for Academic Affairs and Dean:

Date: 12-18-18

Vice President for Legal & Governmental Affairs and General Counsel:

Date: 12-18-18

President:

Date: 12-18-18

Prior Version: November 6, 2018